

# LightFS: A Lightweight Host-CSD Coordinated File System Optimizing for Heavy Small File Accesses

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**Abstract**—Computational storage drive (CSD) improves the data processing efficiency by processing the data within the storage. However, existing CSDs rely on the host-centric file systems to manage the data, where the layouts of files are retrieved by the host and sent to the CSD, resulting in additional I/O overhead and reduced processing efficiency, especially in heavy small file accesses. Moreover, the lack of consistency mechanisms poses potential consistency issues. To address these challenges, we propose LightFS, a lightweight host-CSD coordinated file system for the CSD file management. To reduce task offloading overhead, LightFS builds an index file *.ndpmeta* which summarizes the files’ metadata and shares between the host and CSD to enable CSD to retrieve the file layout in storage directly. To ensure consistency, LightFS employs a metadata locker and an update synchronizer. The metadata locker leverages the out-of-place update feature of the flash to capture a snapshot of the file to be written without any data copy, while the update synchronizer triggers metadata updates by monitoring the addresses of written blocks to ensure that the modified file is successfully written to the CSD. We implement and evaluate LightFS on a real testbed, and the results demonstrate that LightFS achieves 3.66× performance improvement on the average in real-world operations.

**Index Terms**—Computational storage, file system, in-storage computing, near-data processing (NDP).

## I. INTRODUCTION

THE AMOUNT of data generated worldwide is expected to grow to 175 ZB by 2025 [1], however, the “storage

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wall” problem posed by slow storage interfaces severely hampers the efficiency of the data processing [2], [3]. Near-data processing (NDP) architecture is seen as an effective way to solve the storage wall problem by processing the data within storage to avoid the heavy I/O transmission overhead. The storage device that supports in-storage computing tasks (i.e., NDP task) is called the computational storage drive (CSD), which is widely used in the database [4], [5], [6], recommendation system [7], [8], AI [9], etc. To keep compatibility with the existing I/O stacks, many CSDs [10], [11], [12], [13] utilize the file systems for the data management. However, the adoption of traditional file systems will lead to performance and consistency problems.

When the host offloads an NDP task to CSD, CSD needs to retrieve the file data in storage directly. However, the traditional storage devices only support the block interface [14], leaving CSDs unaware of file semantics. Thus, how to tell the CSD where to find the file, i.e., retrieve file layout is an essential step in CSD task offloading. There are two types of file management methods used in CSD, the host-centric file system [10], [11], [15], [16] and the in-storage file system [12], [17], [18], as shown in Fig. 1.

- 1) *Host-centric file system* applies the traditional file system architecture where the file is managed by the host completely. As shown in Fig. 1(a), when offloading the NDP task, the host needs to ❶ read the flash to get the file metadata block and ❷ retrieve the file layout (i.e., the block numbers of the file). The directories and inodes are read level by level, hence steps ❶ and ❷ typically need to be repeatedly executed several times before retrieving the file layout. After that, the host ❸ sends NDP request, including operations and file layout to CSD. The task manager in CSD ❹ retrieves the file data according to the given layout and ❺ sends it to the computing unit.
- 2) *In-storage file system* offloads the whole file system into storage devices and provides the file interface to the host. As shown in Fig. 1(b), host ❶ sends the NDP request, including operations and file path to CSD after ❷ permission check in kernel. After the task manager receives the file path, it will ❸ retrieve the file layout from the in-storage file system by ❹ multiple flash reads, like the host-centric file system. Finally, the retrieved file data is ❺ computed.

These methods incur two problems as follows.

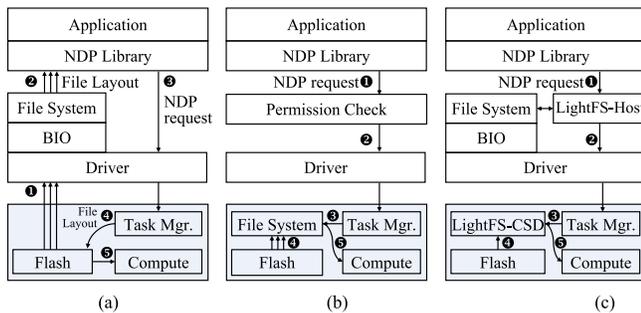


Fig. 1. Architecture comparisons between traditional architectures and LightFS. (a) Host-centric file system. (b) In-storage file system. (c) LightFS.

- 1) *Performance Degradation*: Is caused by the structure of the traditional file system. Before sending an NDP request, file path parsing and metadata reading need to be done level by level, resulting in significant additional I/O overhead, and the NDP request has to be blocked before the layout is retrieved. Even worse, with the development of AI, social networks, embedded devices, etc., the data is often stored as large numbers of small files [19], [20], [21], leading to heavy small file accesses. When confronted with this scenario, CSD must retrieve the layout of each file independently, resulting in additional I/O overhead that amounts to over 50% (detailed in Section II-C), diminishing the advantage of CSD in retrieving and processing the data within the storage. Although the in-storage file system can reduce the communication time with the host, it still requires a lot of additional flash reads to retrieve the file layout.
- 2) *Data Consistency*: Due to the presence of page cache, out-of-order writes[22], and I/O schedulers[23] in the operating system, the exact timing of when a file is written to the storage is unknown. If an NDP request is sent on a writing file, inconsistent data may be read by the NDP request (detailed in Section II-D). Typically, current CSDs address this issue by file locking and force synchronization [10], [11], but this approach results in request blocking and reduced processing efficiency.

To address these problems, we propose a lightweight file system LightFS that runs across the host and CSD. The architecture of LightFS is shown in Fig. 1(c). LightFS consists of two components: 1) LightFS-Host and 2) LightFS-CSD. Their primary roles are to handle the user requests in the host and manage the file metadata in the CSD. LightFS tackles the aforementioned problems with the following two key designs.

- 1) To reduce task offloading overhead, LightFS builds an in-storage index file, *.ndpmeta*, which is recognizable by both LightFS-Host and LightFS-CSD. This allows the CSD to directly retrieve the file layout from the storage. As shown in Fig. 1(c), when LightFS-Host ① receives an NDP request, it converts the file/directory path to an inode number (ino) list and ② sends it to the CSD after a permission check. LightFS-CSD ③ retrieve the file layout using the ino rather than the full path name. The *.ndpmeta* file records the layout of each file, so LightFS-CSD can ④ retrieve the layouts of a batch of files with a

single flash read and quickly send the flash read requests for ⑤ computing, avoiding separate handling for each file.

- 2) To ensure consistency, LightFS employs a metadata locker and an update synchronizer. The metadata locker locks the file before a file is written, and the update synchronizer monitors written blocks to update the metadata immediately when all the blocks of a file are written. To avoid NDP task blocking when file writing, LightFS takes advantage of the out-of-place update mechanism of flash, records the physical addresses of the file, and forbids garbage collection before the file is written to CSD. This mechanism improves concurrency performance while ensuring the file data consistency.

We implement the LightFS prototype based on Linux and a real testbed cosmos plus OpenSSD [24], to demonstrate the performance improvement of LightFS with the host-centric and in-storage file system. The experimental results show that LightFS can achieve an average of  $88\times$  (with warmup) and  $26.8\times$  (without warmup) task offload acceleration when processing heavy small files. For real-world operations, LightFS can achieve  $3.66\times$  data processing accelerations on average.

In summary, the contributions of this article include as follows.

- 1) As far as we know, we are the first to reveal the consistency problem and the I/O overhead in heavy small file access in existing CSDs, providing a comprehensive understanding through the detailed experimental analysis.
- 2) We propose LightFS, a lightweight host-CSD coordinated file system that innovatively builds and shares the index files between the host and CSD to reduce the file access overhead in NDP task offloading, while maintaining compatibility with the existing software.
- 3) We implement a LightFS prototype on a real testbed and demonstrate the performance improvement by compared with widely used file management methods in CSDs.

The remainder of this article is organized as follows. In Section II, we introduce the task offloading overhead and inconsistency problems in CSD. Section III presents the design of LightFS. Section IV evaluates the LightFS and analyses the result. Section V concludes this article.

## II. BACKGROUND AND MOTIVATION

In this section, we will introduce the task offloading workflow of current CSDs, then reveal the performance and inconsistency problems in detail.

### A. Background of CSD and Flash

The concept of CSD was first proposed for the hard disk drive (HDD) [3]. However, due to the slow speed of HDD, storage interface bandwidth was not a performance bottleneck. With the development of flash, solid-state drive (SSD) bandwidth has increased significantly, making storage interfaces gradually become the bottleneck for the data processing [11], thus SSD-based CSD became the research hotspots [5]. Compared to the commercial SSD, CSD [25]

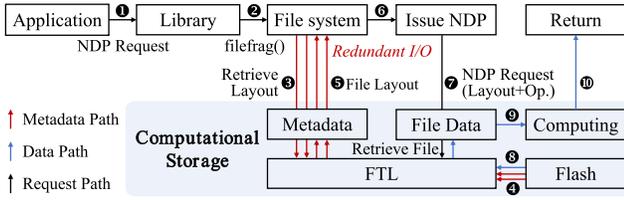


Fig. 2. NDP task offloading workflow.

173 can directly access data and process it by built-in hardware  
 174 accelerators [26] or embedded processors [7], and then only  
 175 return results back to the host. CSD avoids the transfer of  
 176 large amounts of the raw data, thereby improving the data  
 177 processing efficiency.

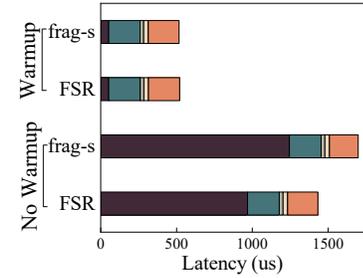
178 SSD and CSD typically use NAND flash as the storage  
 179 medium [6], [27]. The read and write granularity of NAND  
 180 flash is page, usually with sizes of 4, 16 KB, or higher.  
 181 NAND flash chip is addressed by discrete physical addresses,  
 182 but SSD needs to expose continuous logical addresses to the  
 183 host. To bridge the gap, SSD utilizes a mapping table called  
 184 flash translation layer (FTL) to translate the user-requested  
 185 logical addresses into physical addresses of flash. NAND flash  
 186 features write-after-erase, meaning before writing to a page,  
 187 the block that the page locates needs to be erased first, a block  
 188 typically comprising several dozen pages. Erasing incurs high  
 189 overheads, so SSDs usually write modified data to another  
 190 block's page, and then update the FTL to point that logical  
 191 address to the new physical page. This strategy is known as  
 192 the SSD's out-of-place update strategy [28]. Therefore, until  
 193 a block is erased, the data inside can still be accessed via the  
 194 physical addresses, a feature that LightFS utilized.

### 195 B. NDP Task Offloading Workflow

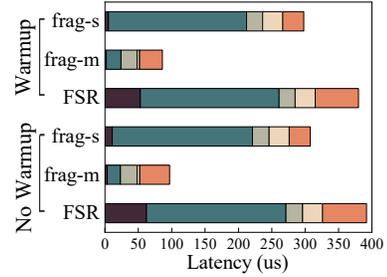
196 Many CSDs still utilize the block interface to communicate  
 197 with the host, and the host employs the traditional file systems  
 198 to manage the data on the CSD [10], [11], [15] for compati-  
 199 bility purposes. Due to this limitation, CSD can only read the  
 200 data by the block number and lacks the file system semantics  
 201 inside CSD. Therefore, these file system-based CSDs require  
 202 the host to use the *filefrag* function to call the *fiemap* system  
 203 call to obtain the layout of files.

204 The typical NDP task offloading workflow is depicted in  
 205 Fig. 2. When an application ① sends an NDP request to the  
 206 library, it utilizes the ② *filefrag* function to obtain the file  
 207 layout from the file system. Subsequently, the file system  
 208 executes the “③ resolve the file path and sends a read request  
 209 to retrieve the data block of the next path level, → ④ read the  
 210 flash, and → ⑤ return the metadata flow” layer by layer until  
 211 retrieving the layout of the given file path. Once the layout  
 212 is ⑥ returned to the library, the NDP request, including file  
 213 layouts and operations, is ⑦ sent to the CSD. CSD then ⑧  
 214 retrieve the file data based on the given file layouts, then ⑨  
 215 send the file to the computing unit, and finally ⑩ return the  
 216 result.

217 Although in-storage file systems can avoid frequent data  
 218 transfers between CSD and host during the file layout retrieval,  
 219 existing in-storage file systems still utilize the traditional file



(a)



(b)

Fig. 3. Latency breakdown of motivation examples. (a) Single file request. (b) Multiple file request.

systems [12], [18], so multiple flash reads within the CSD are 220  
 still required to obtain the layout of a file. 221

### 222 C. NDP Task Offloading Overhead

223 As described above, each NDP task needs to retrieve the  
 224 file layout before offloading, which brings a lot of redundant  
 225 I/O and reduces the CSD efficiency, especially when dealing  
 226 with heavy small file access. Heavy small file accesses refer  
 227 to scenarios, such as log analysis and retrieval [21], sensor  
 228 data analysis [20], and dataset access during AI training  
 229 [29], [30]. These applications need to access a batch of small  
 230 files during execution. If the host sends an NDP request  
 231 for each file separately, the additional overhead brought by  
 232 *filefrag* and communication is significant. We demonstrate the  
 233 overhead through an experiment. We employ three methods  
 234 for offloading NDP tasks: 1) single-threaded *filefrag* (referred  
 235 to as frag-s); 2) multithreaded *filefrag* (referred to as frag-m);  
 236 and 3) an in-storage file system FSR [12], [31]. We offload  
 237 STATS64 [10] NDP tasks for 1 [Fig. 3(a)] and 100 [Fig. 3(b)]  
 238 16 KB files, respectively, and measure the average latency per  
 239 file with breakdowns. Details of the experimental setup can be  
 240 found in Section IV-A.

241 The results are shown in Fig. 3. We define a metric, percent-  
 242 age of additional overhead (PAO), to quantify the unnecessary  
 243 overhead during the NDP task processing of each file, which  
 244 includes operations, such as retrieving and transferring the file  
 245 layouts. PAO is defined as

$$246 \text{PAO} = \frac{\text{Retrieve File} + \text{DMA} + \text{Others}}{\text{Total Latency}}.$$

247 A higher PAO indicates lower efficiency of the NDP tasks,  
 248 the ideal value of PAO is 0. When processing a single file  
 249 [Fig. 3(a)], if the system is warmed up, both frag-s and FSR  
 250 have a PAO of 55.3%; if not, frag-s and FSR have PAOs of

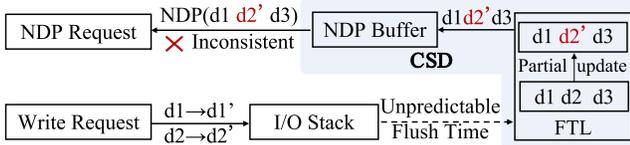


Fig. 4. Inconsistency problem in CSD.

251 86.2% and 83.7%, respectively, due to the need for multiple  
 252 I/O operations to read the file metadata from the storage.  
 253 When processing multiple warmed-up files [Fig. 3(b)], the  
 254 PAOs for frag-s, frag-m, and FSR are 22.5%, 46.5%, and  
 255 39%, respectively. The higher PAO for frag-m is because  
 256 it can leverage the parallelism of flash channels, resulting  
 257 in much lower read times compared to the other methods.  
 258 However, frag-m cannot eliminate additional overhead, such  
 259 as individually sending requests for each file, resulting in high  
 260 PAO, significantly impacting the execution efficiency of NDP  
 261 tasks.

262 Therefore, frag-s and FSR fail to fully exploit the par-  
 263 allelism of flash channels, leading to significantly inferior  
 264 performance compared to frag-m. However, in frag-m, the  
 265 overhead of individual file layout retrieving and task sending  
 266 takes up a lot of time. To address these issues, LightFS stores  
 267 the layout of small files in an index file and supports in-storage  
 268 layout retrieval. This approach optimizes the parallelism of  
 269 flash channels, and minimizes the number of flash reads and  
 270 communications between the host and CSD.

#### 271 D. Inconsistency Problem

272 After a file is modified by an application, the actual written  
 273 time of the file data is unknown due to the page cache and  
 274 I/O scheduler in the operating system. This does not pose  
 275 consistency problems in traditional storage devices because  
 276 the host can track the write status. However, the CSD cannot  
 277 access the host I/O stack status and must read directly from  
 278 the storage, potentially reading a partially modified file.

279 We illustrate this potential consistency problem with a  
 280 simple example as shown in Fig. 4. Suppose a file contains  
 281 blocks ( $d1, d2, d3$ ), and the host sends an NDP request to  
 282 process this file in storage. If the file is written before or  
 283 during the NDP operation, block ( $d1, d2$ ) is modified to block  
 284 ( $d1', d2'$ ) in the host. But the write order and time of ( $d1', d2'$ )  
 285 is not determined, so the data read by NDP request may be  
 286 an inconsistent state, such as ( $d1', d2, d3$ ) and ( $d1, d2', d3$ ),  
 287 resulting in an error result. Some CSDs prevent this problem  
 288 by locking the file [10], [11], preventing the NDP request  
 289 and write request from executing simultaneously. However,  
 290 file lock does not eliminate this problem because the updated  
 291 file may delay writes, the data still may be written during  
 292 the execution of the NDP request, thus the NDP request  
 293 still will read the inconsistent data. Some CSDs force file  
 294 synchronize [15] after each file write to ensure that the file  
 295 data is written to storage, but this will cause task blocking and  
 296 reduce the performance of the CSD. To address this problem,  
 297 LightFS takes a snapshot of written files without any data copy  
 298 by recording the physical address of files and prohibits garbage

collection of the block. And LightFS accurately triggers the  
 metadata updates by monitoring the written blocks.

### III. LIGHTFS DESIGN

In this section, we will introduce the design details  
 of LightFS, including architecture, workflow, and  
 synchronization mechanism.

#### A. Overview of LightFS

LightFS aims to enable CSDs to directly retrieve file layouts  
 in storage and minimize the number of required I/O operations  
 to read the file layouts. LightFS also needs to ensure the  
 consistency of retrieved files, i.e., preventing access to files  
 that are updating. To achieve this, LightFS constructs an index  
 file called *.ndpmeta* which is recognizable by both the host and  
 CSD. This file stores the metadata required for the offloading  
 NDP tasks. LightFS consists of two components: 1) LightFS-  
 Host and 2) LightFS-CSD, which seamlessly collaborate to  
 efficiently offload NDP tasks and update the metadata.

The structure of LightFS is shown in Fig. 5. LightFS-Host  
 is an user-space process that receives the user requests and  
 handles the metadata updates in the background. The main  
 functions of LightFS-Host include as follows.

- 1) Checking permissions for NDP requests, converting  
 paths into ino list, and sending them to LightFS-CSD.
- 2) Sending write notification requests to LightFS-CSD  
 before write requests.
- 3) Recording written files and periodically executing syn-  
 chronization then sending the updated file layouts to  
 LightFS-CSD.

The primary functions of LightFS-CSD include as follows.

- 1) The NDP task dispatcher retrieves the file layouts from  
 the metadata cache in CSD, and then reads them for  
 process.
- 2) The metadata locker records the physical addresses of  
 files in write notifications requests and forbids garbage  
 collection at those addresses.
- 3) The update synchronizer monitors written block num-  
 bers and triggers metadata updates after blocks are  
 written. Both host and CSD have a metadata cache, each  
 caching a portion of the *.ndpmeta* file. Detailed data  
 layouts and workflows are presented below.

#### B. Layout of LightFS

To keep compatibility with the existing I/O stacks and file  
 systems, LightFS stores the index file *.ndpmeta* on the backend  
 file system. Upon initialization, *.ndpmeta* is generated in each  
 directory that needs to be processed by CSD and stores the  
 metadata of every file and subdirectory within that directory.  
 The primary fields of *.ndpmeta* are illustrated in Table I.

1) *Data Structure*: The *.ndpmeta* file comprises two parts:  
 1) a header and 2) a body with multiple entries, which,  
 respectively, store the metadata about each file and *.ndpmeta*  
 in subdirectories. The header primarily contains the num-  
 ber of files/subdirectories in this directory and permissions  
 information about them. The aggregated permissions are used  
 to efficiently check permissions. When sending NDP requests

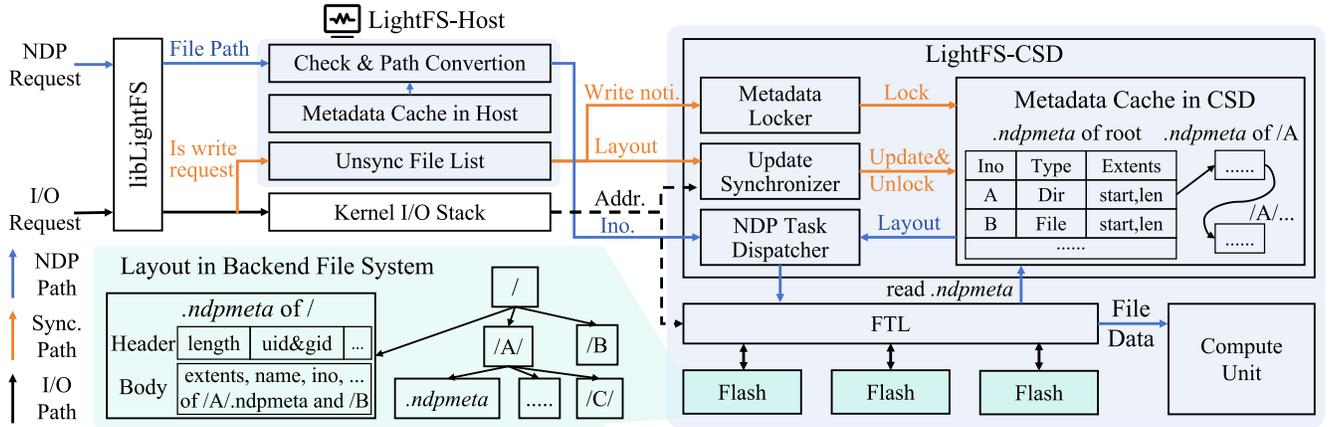


Fig. 5. Architecture of LightFS.

TABLE I  
MAIN FIELDS OF *.ndpmeta*

	Field	Description	Size(B)
Header	uid, gid, mode	Aggregated permissions of files	10
	size	Size of <i>.ndpmeta</i>	4
	file count	Number of store files	4
Body	name	Name of dir/file	32
	extents	Layout of file	64
Entry	ino	Inode number	8
	length	File length	8
	uid, gid, mode	File/dir permissions	8
	flags	Indicate status, e.g. is file or dir	2

for a large number of small files (e.g., “scan directory /A/”), reading the inode of each file to check permissions can be time consuming. To mitigate this, we summarize the permissions field (i.e., uid, gid, and mode) of all the files within the header if their permissions field is the same. This design is predicated on the assumption that the files in a directory typically have the same permissions. Thus, if files in a directory share the same permissions, they are checked once in the header to avoid redundant I/O before NDP task sending. However, if there are files with different permissions, the permissions field will not be set, and LightFS needs to check permissions file-by-file.

The body of *.ndpmeta* mainly consists of 1) the extent (i.e., file layout) of each file or subdirectory, to retrieve the file in storage directly; 2) the name of the file, to efficiently resolve the file path; and 3) the ino of the file, to send requests to CSD. Notably, the extents field of a subdirectory’s entry stores the layout of the *.ndpmeta* file within it, enabling CSD to directly obtain the layout of subdirectories. The name and extents field may not be long enough to store the complete file name and layouts of a file. When the file name is too long, we use the space of the file’s next entry in the body and mark it in the flag to avoid space waste in short file names. If there are too many extents, it means that this is not a small file and LightFS will give up on storing the layout of this file. At this time LightFS takes the traditional method, to get the file layout through the *filefrag* and send it to the CSD.

2) *Metadata Cache:* Host and CSD load *.ndpmeta* into the metadata cache upon the system startup and cache misses. However, the memory of CSD is limited [24]. Fortunately,

the LightFS-Host and LightFS-CSD only require certain fields of *.ndpmeta*, thus the metadata caches of host and CSD, respectively, cache specific fields of *.ndpmeta*.

The main function of LightFS-Host is to check requests and convert path names to ino. Therefore, its metadata cache mainly consists of aggregated uid, gid, and mode for quick permission comparison, as well as the names and ino of files/subdirectories for searching the file names and converting them into the ino lists. The main function of LightFS-CSD is to retrieve the required file layout based on the ino lists. Therefore, its metadata cache mainly contains extents for reading the files, ino for retrieving the files corresponding to the ino lists in requests, and flags for determining whether it is a file or a subdirectory.

3) *Space Overhead:* LightFS requires additional storage and memory space to store and cache *.ndpmeta* as follows.

- 1) *Storage Space Overhead:* Typically, LightFS only needs an additional 128B of space for each file and directory. When the average file size is 16 KB, the additional space overhead is only 0.78%;
- 2) *Memory Footprint:* when caching the metadata of 10 000 files, the memory footprint for the host and CSD is 625 and 723 KB, respectively (since neither the host nor the CSD needs to cache the entire index file). This overhead is much smaller than the memory size of mainstream CSDs [9], [24].

### C. Workflow of LightFS

We will introduce the workflow of LightFS from the perspective of different request execution processes.

1) *Initialize:* Before using LightFS, initialization is required, similar to formatting in other file systems. The purpose of initialization is to generate a *.ndpmeta* file in the directory specified by the user. LightFS iteratively fetches metadata for each file or directory to build the *.ndpmeta* file. Since the parent directory needs to store the metadata of the *.ndpmeta* in subdirectories, LightFS uses a depth-first approach to build from the bottom directory upward. After initialization, *fsync* is used to ensure consistency and allow LightFS-CSD to read out the root directory’s *.ndpmeta*. The initialization only needs to be executed once for each directory.

422 In subsequent system startups, LightFS read the *.ndpmeta* file  
423 from the underlying file system to build the metadata cache.

424 2) *I/O Request*: Applications use libLightFS for POSIX-  
425 like file read and write operations, interacting with the  
426 LightFS-Host. Only write operations may cause inconsisten-  
427 cies, so libLightFS checks for write flags in open requests,  
428 sending a notification to lock the file layout if needed.  
429 Afterward, write requests are sent directly to the kernel I/O  
430 stack. The written file path is added to an unsync list, and  
431 LightFS-Host periodically executes *sync* to allocate blocks for  
432 these files. Unlike *fsync*, *sync* avoids blocking by pushing  
433 I/O requests to the kernel, reducing overhead. After a file  
434 is closed, its layout is retrieved by *filefrag* and updated in  
435 metadata, with low overhead (about *5us*) since the file is still  
436 in memory. Detailed descriptions of the metadata locker and  
437 update synchronizer are in Sections III-D and III-E.

438 3) *NDP Request*: Applications can send NDP requests to  
439 LightFS-Host through libLightFS. LightFS-Host first checks  
440 whether the permissions of the application process match those  
441 requested for the file or directory. If the permission check  
442 passes, LightFS-Host resolves the path in the metadata cache  
443 to locate the corresponding entry. During locating, LightFS-  
444 Host records the inos of each level of the path, forming an ino  
445 list, which is then sent to the NDP task dispatcher in LightFS-  
446 CSD. The reason for sending the ino list instead of the raw  
447 path string includes as follows.

- 448 1) Reducing DMA data transfer volume.
- 449 2) Decreasing CSD memory usage, as only inos need to  
450 be cached in CSD for the file indexing rather than the  
451 strings.
- 452 3) Speeding up CSD matching, as matching each directory  
453 or file requires only integer calculations without time-  
454 consuming string parsing and matching.

455 After receiving the ino list, the NDP task dispatcher obtains  
456 the target file's extents (if the inode list points to a file), or  
457 all the file's extents (if the inode list points to a directory)  
458 inside the target directory from the metadata cache. Then read  
459 data based on these extents and processes by the computing  
460 unit. In particular, if the file is being locked by a metadata  
461 locker, then the physical address will be fetched directly. If the  
462 target inode is not cached in the metadata cache, the *.ndpmeta*  
463 file will be read level by level to build the cache.

#### 464 D. Metadata Locker

465 When an NDP request processes a written but not fully  
466 flushed file, the partially written file data will affect the  
467 consistency of the data read by the NDP request. Therefore,  
468 the file must be locked before the file is written to CSD  
469 completely. In order to lock files and avoid request blocking  
470 as much as possible, we propose the metadata locker. The key  
471 idea of the metadata locker is to leverage SSD's out-of-place  
472 update mechanism as mentioned in Section II-A to lock the  
473 previous version of the file. Thus, before modifying a file, the  
474 metadata locker locks the file by recording the file's physical  
475 addresses and forbids garbage collection on these addresses,  
476 equivalent to saving a snapshot of this file but without any copy  
477 of the data. NDP requests retrieve the required data through

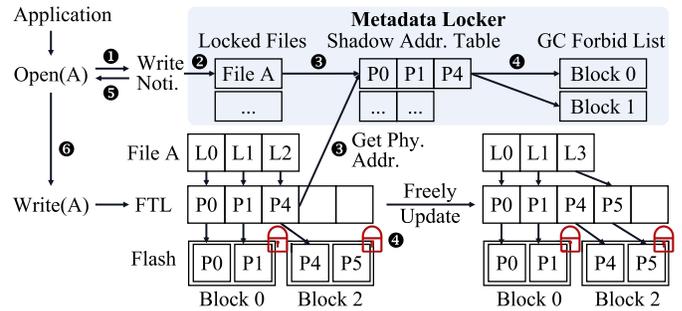


Fig. 6. Workflow of metadata locker.

478 these physical addresses, thus avoiding NDP request blocking  
479 caused by the file writes. The workflow of metadata locker is  
480 shown in Fig. 6.

481 Specifically, upon libLightFS receiving an open request with  
482 a write flag, LightFS-Host will ① send a write notification  
483 request to the metadata locker of LightFS-CSD. The meaning  
484 of the write notification request is to notify CSD that the file  
485 is about to be written, but it is unpredictable when the data  
486 will be written to the storage. The application cannot write  
487 data to the backend file system until the write notification  
488 request returns because if the file system writes the new data  
489 to the original logical address, the logical address recorded  
490 in LightFS-CSD will point to the partially updated data.  
491 Although the metadata locker leads to task blocking on the  
492 subsequent write requests (locking each file takes about *20us*  
493 by our evaluation), it is still better than the file lock in that the  
494 metadata locker only needs to block the requests for a period  
495 of time when opening, without impacting the subsequent write  
496 requests.

497 When the metadata locker receives a write notification  
498 request, it ② adds the ino from the request to the locked file  
499 list and ③ creates a shadow address table for the file. The  
500 shadow address table records the physical addresses of the  
501 locked file. The metadata locker first locates the logical address  
502 of the file in the metadata cache and finds the corresponding  
503 physical address in the FTL, then ③ adds these physical  
504 addresses to the shadow address table. Subsequently, the  
505 metadata locker ④ adds the flash blocks corresponding to these  
506 physical addresses to the GC forbid list, indicating that garbage  
507 collection operations cannot be performed on these blocks.  
508 Once the above steps are completed, the signal ⑤ returns to  
509 the open functions, allowing applications to ⑥ freely write.  
510 When an NDP request needs to retrieve the layout of the file,  
511 it will get the physical addresses of the file from the metadata  
512 locker. Therefore, the metadata locker ensures the consistency  
513 of files and avoids the task blocking to improve the request  
514 concurrency.

#### 515 E. Update Synchronizer

516 The metadata locker effectively locks the file, but deter-  
517 mining the time of unlocking the file and updating the  
518 metadata poses a challenge. As discussed in Section II-D,  
519 data written by the host's traditional I/O stack may not be  
520 immediately written to storage, leading to unpredictable write

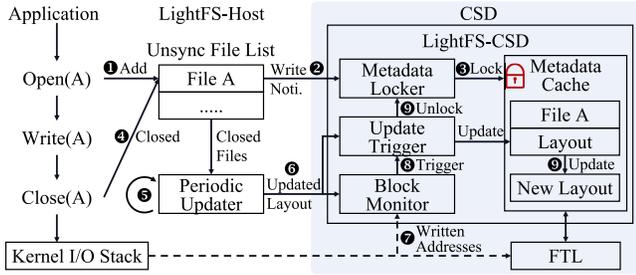


Fig. 7. Workflow of update synchronizer.

521 times. For instance, if LightFS-CSD unlocks the file and  
 522 updates metadata as soon as the file is closed, the file data  
 523 may not yet be written to the CSD, resulting in NDP requests  
 524 reading invalid the data. To address this issue, we propose  
 525 the update synchronizer, which unlocks and updates LightFS  
 526 when all the file data has been written to the CSD. The key  
 527 idea of the update synchronizer is to monitor written blocks  
 528 in the CSD and trigger metadata updates when all the blocks  
 529 of a file data are received, to update the metadata as promptly  
 530 as possible while maintaining the metadata consistency.

531 The workflow of the update synchronizer is depicted in  
 532 Fig. 7. It comprises a block monitor and update trigger in  
 533 LightFS-CSD along with a periodic updater in LightFS-Host.  
 534 When an application opens a file, it performs several steps:  
 535 ① adding the file to the unsync file list, ② sending a write  
 536 notification to CSD, and ③ locking the file using the metadata  
 537 locker and writing the file to the kernel I/O stack as described  
 538 in Section III-D. Upon completing the file write and closing  
 539 it, libLightFS ④ notifies LightFS-Host to mark the file as  
 540 closed. To prevent excessive *sync* calls after each file write,  
 541 LightFS employs the periodic updater to synchronize files at  
 542 regular intervals. This periodic updater ⑤ calls *sync* for each  
 543 closed file in the unsync file list and retrieves the updated  
 544 layout. The updated layout is then ⑥ sent to both the update  
 545 trigger and block monitor. The block monitor ⑦ monitors the  
 546 written addresses and compares them with the sent layout. If  
 547 all addresses are received, it will ⑧ trigger the update trigger.  
 548 Subsequently, the update trigger ⑨ updates the file layout in  
 549 the metadata cache and notifies the metadata locker to unlock  
 550 the file.

551 *Update of .ndpmeta:* The update synchronizer only updates  
 552 the metadata cache in LightFS-CSD. The updated *.ndpmeta*  
 553 file is then written back by the host because it requires  
 554 modification on the backend file system, only the host can  
 555 update it. However, *.ndpmeta* needs to record the layout  
 556 of *.ndpmeta* in subdirectories. Consequently, when a file is  
 557 written in a directory, the *.ndpmeta* files in both the directory  
 558 and its parent directory require updating. In that case, the  
 559 issue of delayed writes and out-of-order writes of *.ndpmeta*  
 560 files persists. If the cache of a *.ndpmeta* file is evicted  
 561 and subsequently needs to be read again, there may still  
 562 exist partially updated data. Therefore, updating *.ndpmeta*  
 563 by the update synchronizer is still necessary to ensure con-  
 564 sistency when the NDP requests retrieve the subdirectories  
 565 layouts.

566 Specifically, after LightFS-Host constructs the *.ndpmeta* file  
 567 for each directory and calls *fsync* to ensure the metadata file

is written to the CSD, LightFS-CSD reads the root directory's  
 568 *.ndpmeta* to initialize the metadata cache, ensuring initial  
 569 consistency for all files and sub-directories. Before a file write,  
 570 LightFS caches the metadata of each level in the file path for  
 571 iterative updates. If files are modified, LightFS periodically  
 572 writes the updated metadata to the *.ndpmeta* file in the  
 573 backend file system. During updates, the metadata locker locks  
 574 the parent directory's *.ndpmeta* to maintain consistent sub-  
 575 directory layouts for NDP requests. The update synchronizer  
 576 then updates the parent directory's layout, and this iterative  
 577 update continues up the directory tree until reaching the  
 578 root directory or when a *.ndpmeta* is updated in its original  
 579 location. Hence, to maintain consistency, the root directory's  
 580 metadata cache must be pinned, preventing eviction and  
 581 ensuring that consistent data is always retrieved.  
 582

#### F. Limitation of LightFS

583 LightFS does not make intrusive modifications to the kernel  
 584 and file system, its efficiency is subject to certain limitations.  
 585 First, LightFS still relies on *filefrag* to fetch the extent  
 586 of a file. However, unlike the traditional methods, LightFS  
 587 retrieves the layout in memory without redundant storage I/O.  
 588 Moreover, this layout retrieval occurs in the background, thus  
 589 not impeding the critical path of NDP task offloading. Second,  
 590 LightFS requires periodic calls of *sync* to ensure the file system  
 591 has allocated the data blocks. To mitigate this overhead in  
 592 the future, the block address allocation can be captured in  
 593 the kernel using eBPF. Third, modifications to a file entail  
 594 iteratively updating metadata in its parent directory, resulting  
 595 in issues of wandering trees [32]. Nevertheless, since CSD is  
 596 typically designed toward read-intensive applications [4], [33],  
 597 such issues are nonexistent in this scenario. This issue can be  
 598 solved by adopting an indirect index table similar to F2FS [32],  
 599 which is our future work.  
 600

## IV. EVALUATION

601 In this section, we will introduce the experimental configura-  
 602 tion, followed by presenting and analysing the performance  
 603 improvement of LightFS compared to other methods. Our  
 604 evaluation of LightFS aims to address the following questions.  
 605

- 606 1) How does LightFS perform under different workloads  
 (e.g., different file sizes and counts)? (Section IV-B).  
 607
- 608 2) How does LightFS achieve its improvements?  
 (Sections IV-C and IV-D).  
 609
- 610 3) How does LightFS perform under the concurrent hybrid  
 requests? (Section IV-F).  
 611
- 612 4) How does LightFS perform under the real-world datasets  
 and operations? (Section IV-E).  
 613
- 614 5) How is the performance of LightFS on different backend  
 file systems? (Section IV-G).  
 615

#### A. Experiment Setup

616 1) *Platforms:* The host and CSD configurations are  
 617 detailed in Table II. We implement the LightFS-CSD pro-  
 618 totype on the cosmos plus OpenSSD platform [24]. It is  
 619 an open-source programmable SSD equipped with a 1 GB  
 620 DRAM and a Xilinx ZYNQ XC7Z045 controller with an ARM  
 621

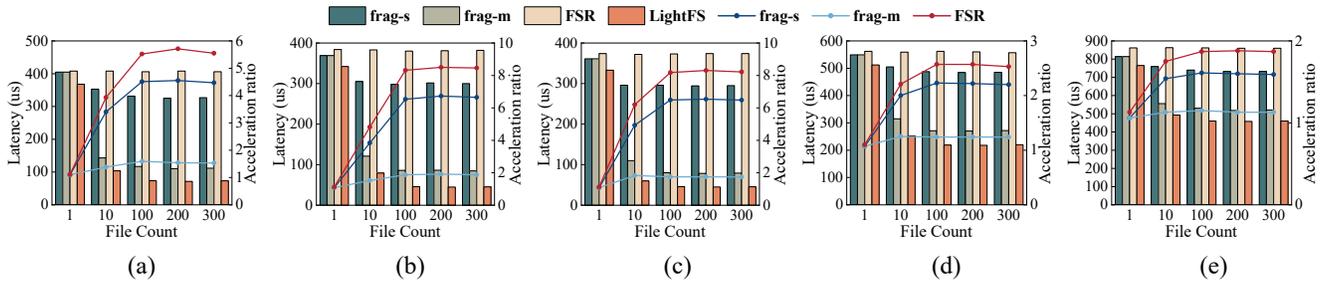


Fig. 8. Average latency under different file count. (a) STATS32. (b) STATS64. (c) KNN. (d) Grep-ACC. (e) Grep-ARM.

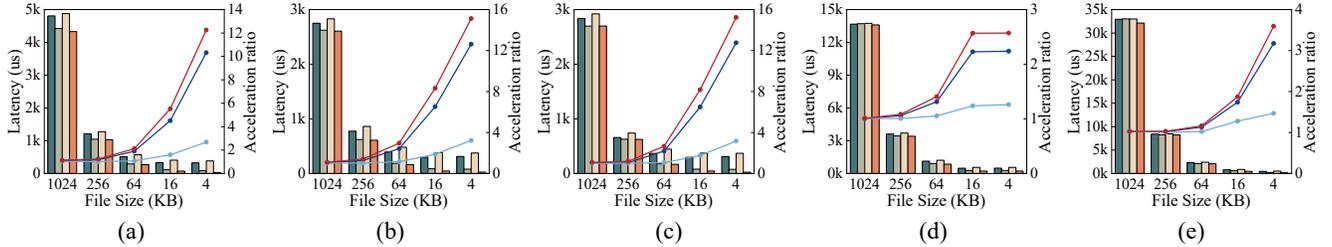


Fig. 9. Average latency under different file size. (a) STATS32. (b) STATS64. (c) KNN. (d) Grep-ACC. (e) Grep-ARM.

TABLE II  
HOST CONFIGURATIONS

Name		Configuration
Host	CPU	E3-1230V2, 8 Cores, 3.3GHz
	Memory	12G, DDR3 1600MHz
	OS	Ubuntu16.04.6 (Kernel version 4.4.4)
CSD	Controller	Xilinx ZYNQ XC7Z045
	Memory	1GB, DDR3
	Flash	1TB, Page Size 16KB

TABLE III  
EVALUATION APPLICATIONS

Application	Description	Time (us)
STATS32/64 [10]	Read file data as 64/32 bit integers and calculate the sum.	50/25
KNN [11]	Read file data as 128 dimensions vectors and calculate the L2 distances between a given vector.	17
Grep-ACC/ARM [36]	Read file data as a string, and find a given string by a hardware accelerator (ACC) or the embedded processor (ARM).	203/500

622 Cortex-A9 processor. We equip cosmos plus OpenSSD with  
623 the two flash modules, each with 500 GB capacity and four  
624 flash channels, and are connected to the host via an eight-lane  
625 PCIe Gen2 interface. We implement the LightFS-CSD based  
626 on the firmware Greedy-FTL 2.7.0d [34]. About 1.5K LoC are  
627 added to the firmware.

628 It should be noted that due to inherent compatibility  
629 constraints within the OpenSSD [34], the host is unable to  
630 utilize cutting-edge CPUs. Nevertheless, NDP tasks usually  
631 are I/O-intensive tasks, and the host only needs to retrieve  
632 the file layout and offloading tasks, thus the experimental  
633 results and conclusion will not be significantly impacted by  
634 the performance of the host's CPU. We develop LightFS-Host  
635 atop an user-space nonvolatile memory express (NVMe) driver  
636 UNVMe [35], which ensures its seamless operability across a  
637 wide range of hosts.

638 2) *Comparisons*: We compare LightFS with three NDP  
639 task offloading methods as follows.

640 1) *Filefrag-Single(frag-s)*: The most widely used task  
641 offloading method [10], [11], [15], [16] in computational  
642 storage that using the host-centric file systems. We  
643 obtain the block address of files through the *fmemap*  
644 system call and send them to the CSD.

645 2) *Filefrag-Multi(frag-m)*: The multithreaded Filefrag-  
646 Single, i.e., multiple Filefrag-Single threads are created,  
647 each of which retrieves the block address and sends NDP  
648 request independently. In our evaluations, frag-m usually

649 gets its best performance with eight threads, the same  
650 number of I/O command queues as OpenSSD [24]. So  
651 we use eight threads in all the afterward experiments.

652 3) *FSR*: An in-storage file layout retrieve method that  
653 directly resolves the path of the file and reads the file in  
654 CSD [12].

655 Except for FSR, the backend file system of all the other  
656 methods is Ext4. FSR only supports retrieving the data  
657 layout in F2FS currently. Out of fairness, we will show the  
658 performance of other methods on different file systems in  
659 Section IV-G.

660 3) *Applications*: We evaluate five common in-storage com-  
661 puting applications, detailed in Table III, with computation  
662 times ranging from 17 to 500 us, noting that due to the  
663 weaker performance of Cosmos Plus OpenSSD compared  
664 to commercial CSDs [6], [9], execution times are generally  
665 longer, but our results show that shorter computation times  
666 lead to greater performance improvements with LightFS; all  
667 results are averaged over five consecutive measurements, with  
668 variance analysis discussed in Section IV-E.

### B. Effect on Different Workload

669 We show LightFS's performance compared to the other  
670 methods across different applications, file counts, and file sizes  
671 to analyse its performance improvements in various workloads.  
672

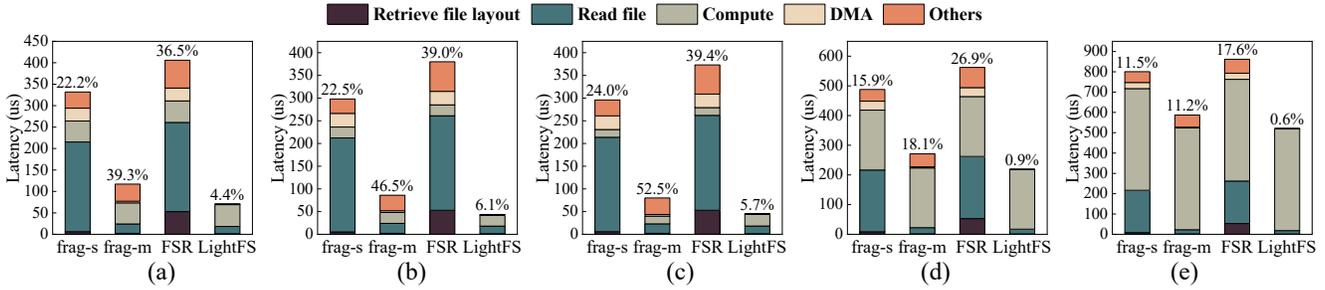


Fig. 10. Latency breakdown under different applications (with warmup). (a) STATS32. (b) STATS64. (c) KNN. (d) Grep-ACC. (e) Grep-ARM.

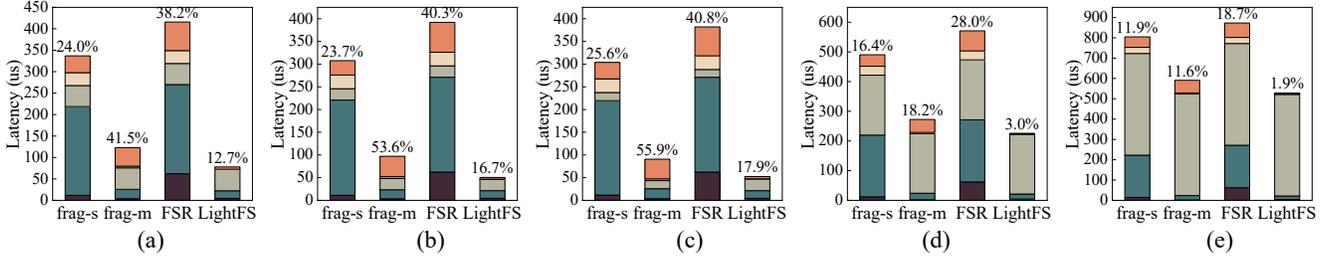


Fig. 11. Latency breakdown under different applications (without warmup). (a) STATS32. (b) STATS64. (c) KNN. (d) Grep-ACC. (e) Grep-ARM.

For performance under different file counts, we fix the file size as 16 KB and gradually increase the number of files as shown in Fig. 8. The column depicts the average latency of processing each file under different methods, while the line depicts the acceleration ratio of LightFS compared to the other methods. LightFS demonstrates performance improvements across all the workloads. As the number of files increases, LightFS’s performance rapidly improves. It reaches its peak at 100 files and remains stable as the number of files continues to increase. This is because LightFS’s performance enhancement comes from batch-fetching file layouts to rapidly send the flash read operations in CSD, thereby improving the flash concurrency and avoiding the overhead of individually fetching metadata and sending requests for each file. Hence, as the number of files increases, LightFS’s advantage in batch-fetching metadata becomes more significant.

For performance under different file sizes, we fix the number of files at 100 and gradually increase the file sizes as shown in Fig. 9. LightFS also presents performance improvements in all the cases with the performance improvement being more significant as the file size decreases. This is because when the file size is smaller, the time taken for data retrieval and computation is shorter. As a result, the PAO that can be avoided by LightFS becomes higher, leading to more significant performance gains. It is worth noting that in Grep-ACC, the performance improvements for 16 and 4 KB are nearly the same. This is because both the Grep-ACC’s hardware accelerator and flash read granularity are 16 KB, resulting in the same latency for them.

Even in scenarios with fewer or larger files, LightFS consistently outperforms other methods. As the number of files decreases or file size increases, the speedup approaches but does not drop below 1, as shown in Figs. 8 and 9. This is because LightFS’s advantage in batch reading metadata diminishes with fewer files, and larger file sizes increase

the proportion of necessary operations, reducing overhead. Nonetheless, LightFS still performs as well as traditional methods in less favorable workloads. Performance improvements are most significant with shorter computation times, such as in KNN, where LightFS achieves gains of up to 6.48 $\times$ , 1.74 $\times$ , and 8.22 $\times$  over frag-s, frag-m, and FSR. In longer computation tasks like Grep-ARM, improvements stabilize at 1.59 $\times$ , 1.13 $\times$ , and 1.87 $\times$ . LightFS excels when files are smaller and more numerous, and computation times are shorter.

### C. Latency Breakdown

To reveal the source of LightFS’s performance improvement, we conducted a breakdown of latency, measuring the latency of each stage separately. We perform NDP operations on 100 files of 16 KB each, and the experimental results are shown in Figs. 10 and 11.

- 1) “Retrieve file layout” in frag-s and frag-m refers to the time taken for the host to retrieve layout through *filefrag*, while in FSR and LightFS, it refers to the time taken to read the file layout in storage.
- 2) “DMA” refers to the time taken by CSD to receive the file layouts or paths from the host. Due to the limited command length of NVMe [37], the host cannot just use reserved fields to transmit request parameters. Thus, DMA is needed for CSD to read the path or file layout from the host memory.
- 3) “Read file” time refers to the average time taken to read the data for each file, indicating the average interval of a file is read. Although the read latency of the flash page is long, concurrent reads can significantly reduce the average latency.
- 4) “Others” include task scheduling time, NVMe requests sending time, etc. The number on each bar represents

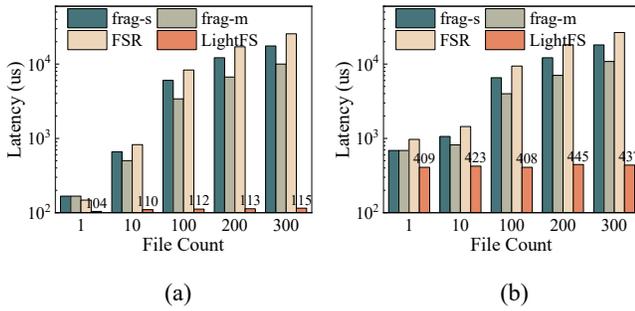


Fig. 12. Task offloading overhead under different file count (file size 16 KB). (a) With warmup. (b) Without warmup.

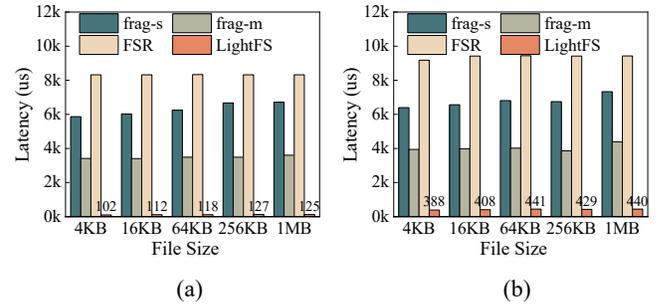


Fig. 13. Task offloading overhead under different file size (100 files). (a) With warmup. (b) Without warmup.

741 the PAO (i.e., the PAO in Section II-C), the lower the  
742 better.

743 First, LightFS almost only spends time on reading and  
744 computation, with PAO significantly lower than the other  
745 methods. This is because LightFS first can batch retrieve file  
746 layouts, thus the average time taken for retrieving the file  
747 layouts is very short. Second, because the CSD only needs  
748 one DMA to obtain the requested directory, the average DMA  
749 time for each file is very short. Additionally, LightFS does  
750 not require much host involvement, avoiding redundant data  
751 transfers and host task scheduling, resulting in others' time  
752 being short as well. When the system is not warmed up,  
753 compared to the warmed-up result, PAO for each method is  
754 increased. The main reason for the increment is primarily  
755 because the time to retrieve the file layout increases as it  
756 requires reading metadata from the flash.

757 LightFS improves performance by both reducing over-  
758 head and enhancing flash read speeds. Unlike frag-m, which  
759 improves read performance by using multiple processes but  
760 still faces delays before sending read requests, LightFS quickly  
761 sends many requests after batch retrieving layouts, making full  
762 use of flash bandwidth. As a result, LightFS reads a file in an  
763 average of 17us compared to frag-m's 22us. Applications with  
764 shorter computation times generally experience higher PAO, as  
765 fixed non-preemptive computation leaves more time wasted on  
766 unnecessary operations. For example, frag-m's PAO for KNN  
767 reaches 52.5%, while LightFS achieves a 1.75 $\times$  acceleration  
768 by reducing this overhead.

#### 769 D. Effect on Task Offloading

770 We evaluated LightFS performance improvements for the  
771 NDP task offloading. NDP task offloading refers to the latency  
772 of CSD in obtaining the layout of all the requested files. Hence,  
773 for the filefrag method, offloading overhead refers to the time  
774 taken for the host to retrieve the layout then send to CSD,  
775 and CSD to receive the data layout via DMA. For LightFS  
776 and FSR, offloading overhead refers to the time taken for the  
777 host to send the file path, and for CSD to directly read the file  
778 layout inside CSD.

779 Fig. 12 demonstrates the impact of file count on NDP task  
780 offloading overhead, showing that while LightFS's overhead  
781 remains nearly constant as it batch-fetches file layouts, the  
782 overhead for other methods grows linearly due to fetching  
783 and sending layouts individually; this is because LightFS only

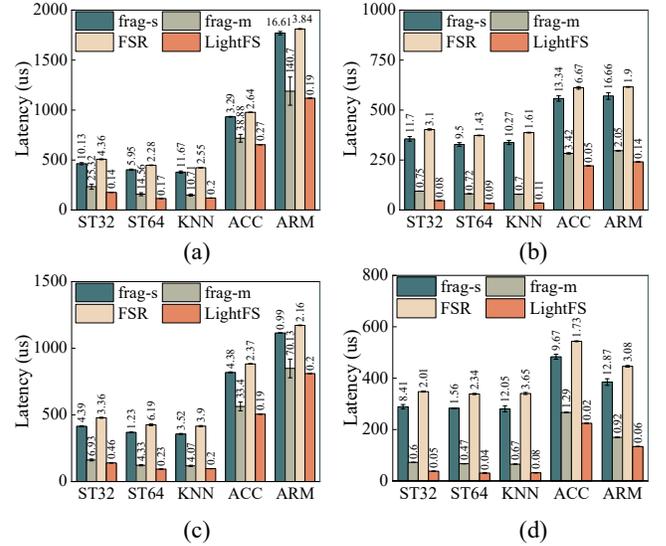


Fig. 14. Average latency comparisons on real datasets. (a) Hadoop. (b) Doppler. (c) Weather. (d) CIFAR-10.

784 incurs additional time for reading layouts from the metadata  
785 cache after initially reading metadata from flash, with minimal  
786 impact on initialization time as the file count grows. Fig. 13  
787 further shows that file size has little effect on the offloading  
788 overhead for LightFS, as its batch retrieval process minimizes  
789 the impact, leading to greater performance improvements over  
790 other methods, particularly with smaller files where layout  
791 retrieval overhead becomes more pronounced.

#### 792 E. Effect on Real-World Dataset

793 With the development of AI and IoT, the data is typically  
794 gathered and processed in the form of numerous small files.  
795 We deploy several real-world datasets in CSD, including  
796 images, system logs, and sensor data to show LightFS's  
797 performance in real-world scenarios. Information about these  
798 datasets is provided in Table IV. We perform the real-world  
799 operations outlined in Table III on these datasets, the results  
800 are shown in Fig. 14. The height of each column represents  
801 the average latency in processing each file, and the error bars  
802 indicate the standard deviation of multiple measurements. For  
803 clarity, we have labeled the standard deviations at the top of  
804 the bars.

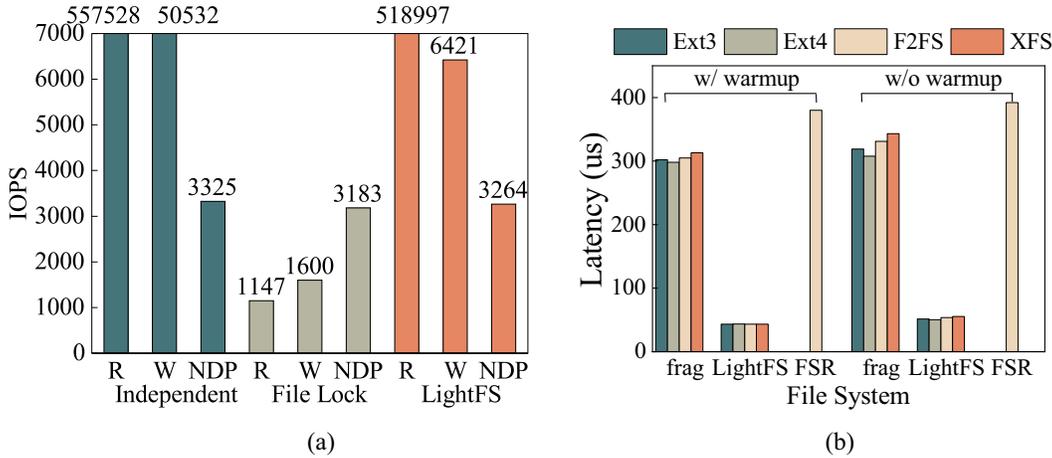


Fig. 15. (a) IOPS comparison between file locking and LightFS. (b) Performance comparison under different backend file systems.

TABLE IV  
INFORMATION OF REAL-WORLD DATASETS

Dataset	Description	Average Size(B)
hadoop [21]	979 logs from a Hadoop cluster	49640
doppler [20]	17485 radar data captured with doppler radar	5287
weather [29]	1530 images of 5 kinds of weather conditions.	250977
cifar-10 [30]	50000 images of 10 classes	924

TABLE V  
LATENCY OF FILEFRAG UNDER DIFFERENT BACKEND FILE SYSTEM

	With Warmup	Without Warmup
Ext3	73us	960us
Ext4	50us	848us
F2FS	71us	859us
XFS	73us	729us

LightFS significantly outperforms other methods, achieving up to  $11.07\times$  faster performance with an average boost of  $3.66\times$ . The largest gains are in STATS64 and KNN ( $5.35\times$  and  $5.13\times$ ), while Grep-ACC and Grep-ARM show smaller improvements ( $1.73\times$  and  $1.81\times$ ). This is because Grep's longer data processing time reduces the impact of NDP offloading, whereas shorter tasks benefit more from LightFS. Since CSDs are often used for simple data tasks, LightFS typically shows strong performance gains, especially with smaller files. For example, in the cifar-10 dataset, LightFS is  $6.14\times$ ,  $1.72\times$ , and  $7.33\times$  faster than frag-s, frag-m, and FSR, respectively. In the weather dataset, with larger files, the gains are  $2.73\times$ ,  $1.17\times$ , and  $3.09\times$ . LightFS performs better with smaller files because it eliminates more redundancy overhead.

LightFS is also more consistent, with the lowest standard deviation of  $0.15\mu s$ , compared to  $8.41\mu s$ ,  $18.03\mu s$ , and  $3.06\mu s$  for frag-s, frag-m, and FSR. This stability comes from running LightFS-Host in user space and LightFS-CSD in the CSD's embedded environment, avoiding disruptions from kernel file systems and scheduling. Frag-m is less stable due to multi-threading, which causes kernel preemption and PCIe contention. LightFS avoids these issues by handling concurrency without multi-threading and reduces overhead by not sending NDP requests for each file.

#### F. Effect on Hybrid Request

We evaluated the impact of file lock on the performance of CSD. We use three processes to read, write, and send STATS32 NDP requests to a 16 KB file at the same time, and statistics the I/O per second (IOPS) of each operation. We lock the file by *flock* function during the file operation,

to achieve the multithreading situations in the other methods [10], [11]. We also show the performance of each process executing independently to demonstrate the performance upper bounds.

The experimental results in Fig. 15 show IOPS improvements for read ( $452.5\times$ ), write ( $4\times$ ), and NDP ( $1.03\times$ ) requests. LightFS achieves high read IOPS by avoiding request blocking, thus nearly approaching performance limits. Although write requests incur some performance loss due to the metadata locker notifications, they still surpass the file locking methods. NDP request performance is similar across methods, as NDP requests take much longer time to execute, and holding the lock longer leads to minimal impact on the overall I/O performance. Thus, the main performance loss with file locking is for the I/O requests, with minimal impact on the NDP requests.

#### G. Effect on Different Backend File Systems

To demonstrate LightFS's adaptability to various backend file systems, we evaluate the performance of task offloading methods on widely used kernel file systems, including Ext3, Ext4, F2FS, and XFS [10], [11], [12], [38]. Given FSR's exclusive compatibility with F2FS, we report its results only for that file system. We measure the average latency for STATS64 tasks performed on 100 16KB files under each system, as shown in Fig. 15(b).

The performance disparities across file systems are due to different overheads in retrieving file layouts. Ext4 exhibits the lowest overhead, as shown in Table V, leading to the best performance. If FSR supported Ext4, its performance could improve, though it remains inferior to LightFS due to its traditional architecture. Once metadata is cached, LightFS's

866 performance stabilizes across file systems, unaffected by  
 867 backend differences during task offloading. However, filefrag-  
 868 based methods reveal variations, with XFS increasing latency  
 869 by 5% compared to Ext4. Performance disparities arise during  
 870 initialization when LightFS retrieves the layout of *.ndpmeta*.

## 871 V. CONCLUSION

872 This article reveals the task offloading overhead and inconsis-  
 873 tency problems in CSDs. To solve these problems, we  
 874 propose LightFS, a lightweight user-space file system for  
 875 CSDs. To reduce offloading overhead, LightFS retrieves the  
 876 file layouts in storage to avoid redundant I/Os. To ensure  
 877 consistency, LightFS employs a metadata locker and an update  
 878 synchronizer to prevent the partial file updates. By evaluating  
 879 LightFS on a real-world testbed, LightFS shows significant  
 880 performance improvements in real-world applications.

## 881 ACKNOWLEDGMENT

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 883 for their valuable comments and improvements to this article.

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