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# MECHANICS OF BREATHING

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# NORMAL BREATHING

# How Do We Breathe?

- **Inspiration is normally active**
- **Expiration is normally passive.**

# Muscles of Respiration

- **Inspiratory muscles**

- Diaphragm.

- External intercostals.

- Accessory muscles.

- Include sternomastoids, scalene muscles, and alae nasi.

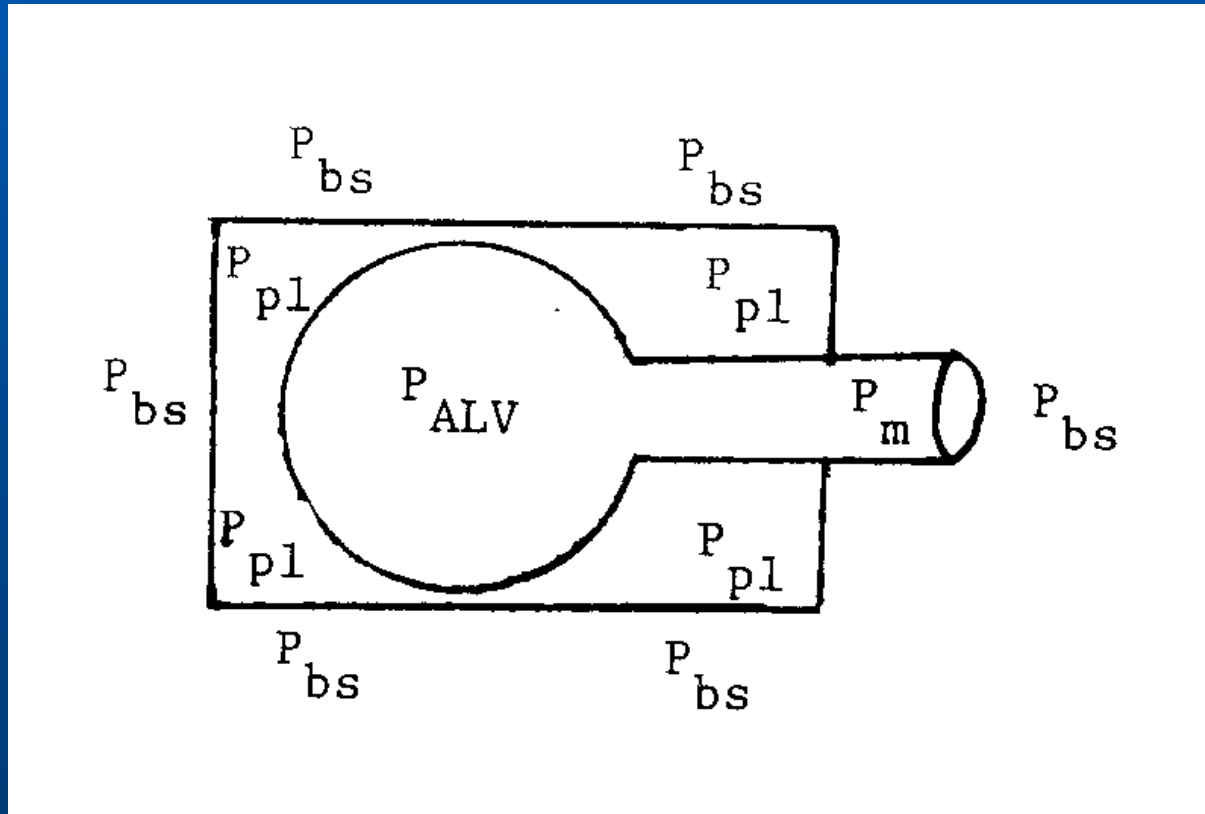
- **Expiratory muscles**

- Abdominal muscles.

- Internal intercostals.

# Figure 1: Respiratory Pressures

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# Important Respiratory Pressure Differences.

- Airway pressure gradient:

$$P_M - P_{ALV}$$

- Transpulmonary pressure:

$$P_{TP} = P_{ALV} - P_{PI}$$

- Transchest wall pressure:

$$P_{TC} = P_{PI} - P_{bs}$$

- Transmural respiratory system pressure:

$$P_{RS} = P_{ALV} - P_{bs}$$

# Balance of Static Forces

Increase to Inflate

Increase with Inflation

$$P_{RS} + P_{MUS} = P_L + P_{CW}$$

$$\uparrow P_{ALV} - P_{bc} \downarrow + \uparrow P_{MUS} = P_L + P_{CW}$$



inspiratory  
muscle  
contraction

Lung  
elastic  
recoil

+

Chest wall  
elastic  
recoil



Outward Acting forces  
when positive

Inward acting forces  
when positive

# Three Ways to Inflate the Lungs

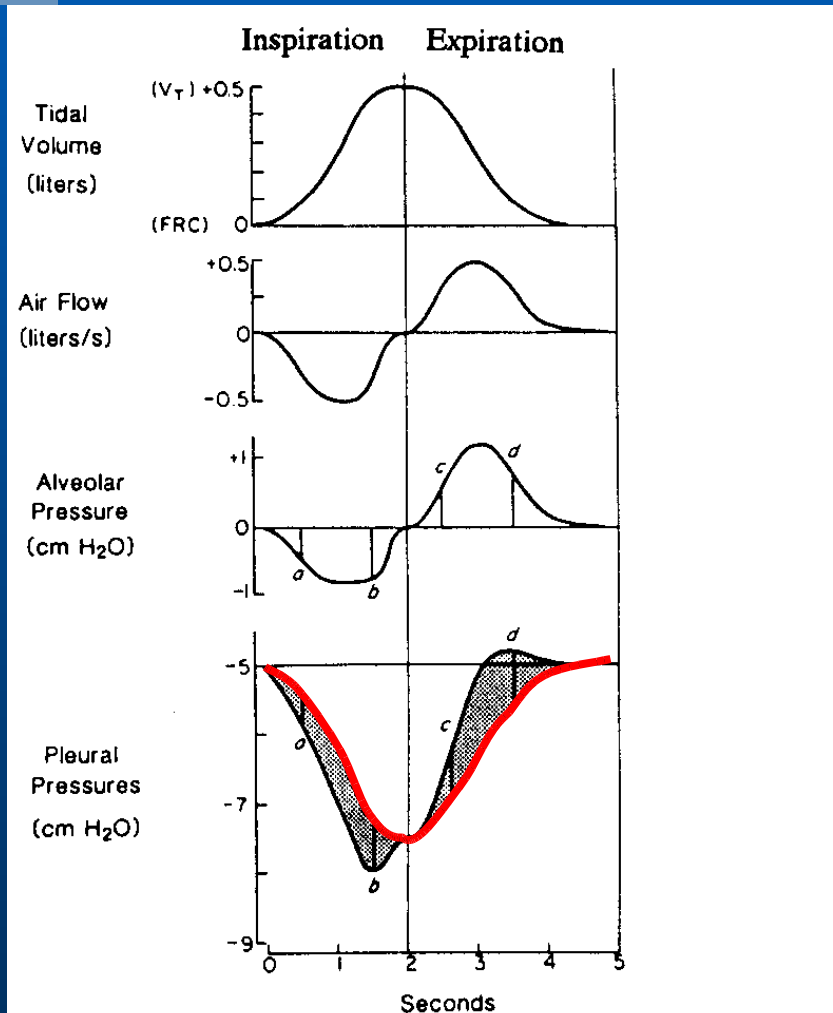
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- **Increase alveolar pressure**
  - Positive pressure respirators.
- **Decrease body surface pressure**
  - “Iron lungs”
- **Activate inspiratory muscles**
  - Normal way to breath.



# Inflation Dynamics

- **Transmural pressure must overcome:**
  - Elastic recoil forces
  - Airway resistance to flow.



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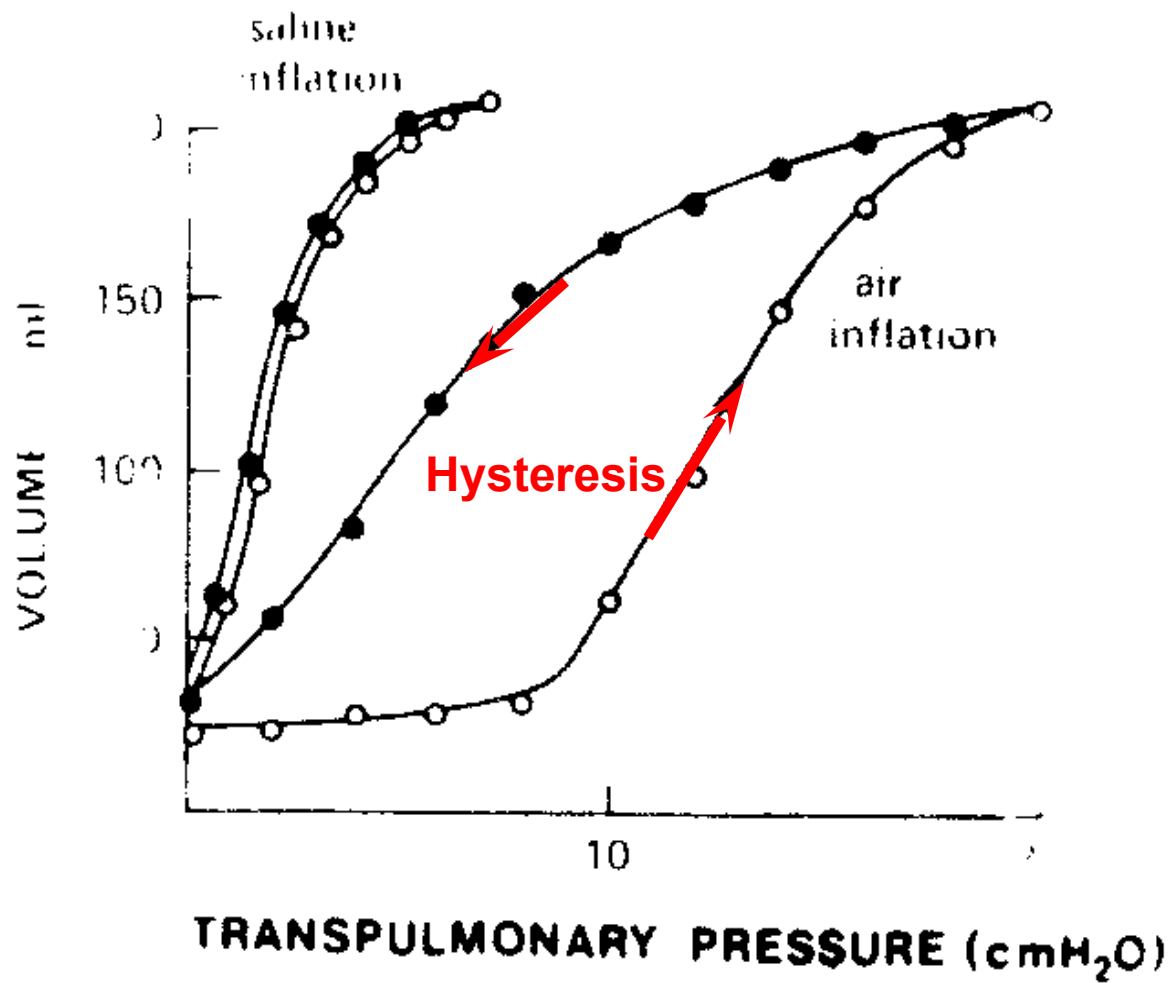
# MECHANICS OF BREATHING

## Part 2

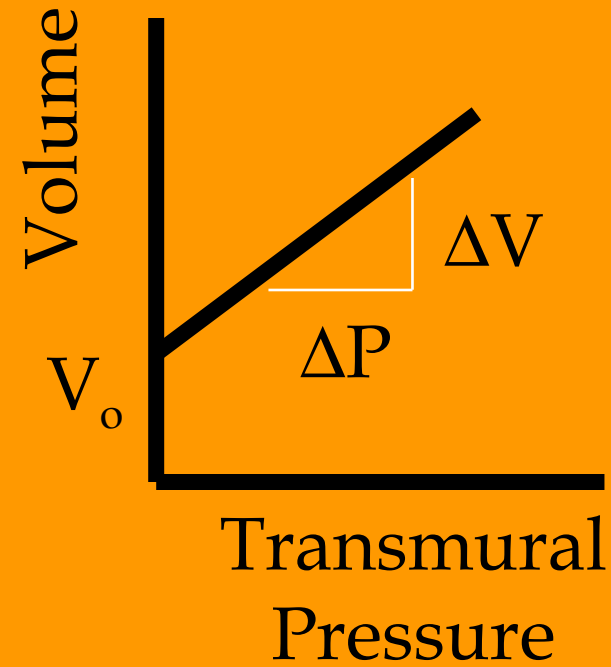
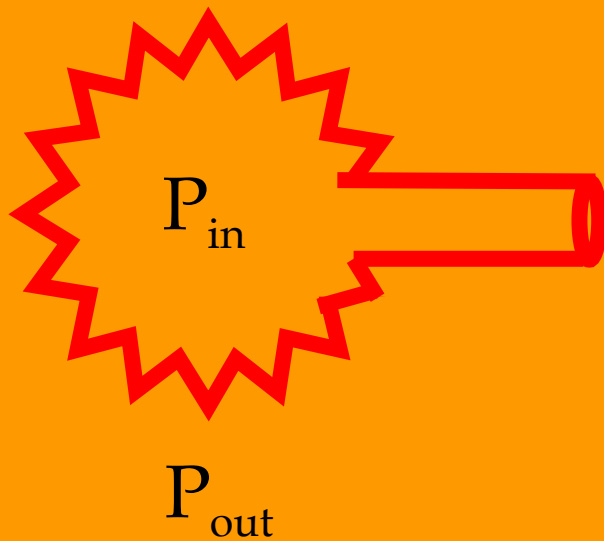
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# ELASTIC CHARACTERISTICS OF THE LUNG

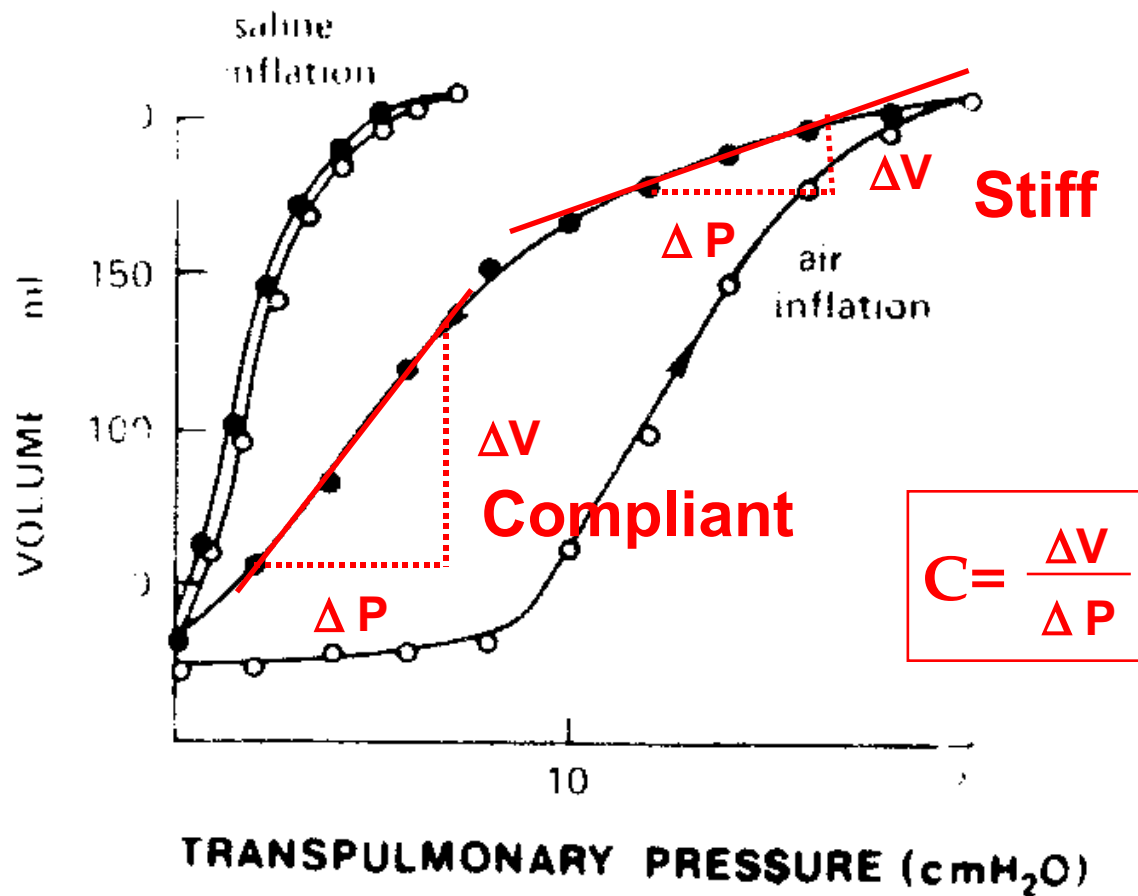
# Inflation Hysteresis



# Compliance



# Lung Compliance vs. Volume



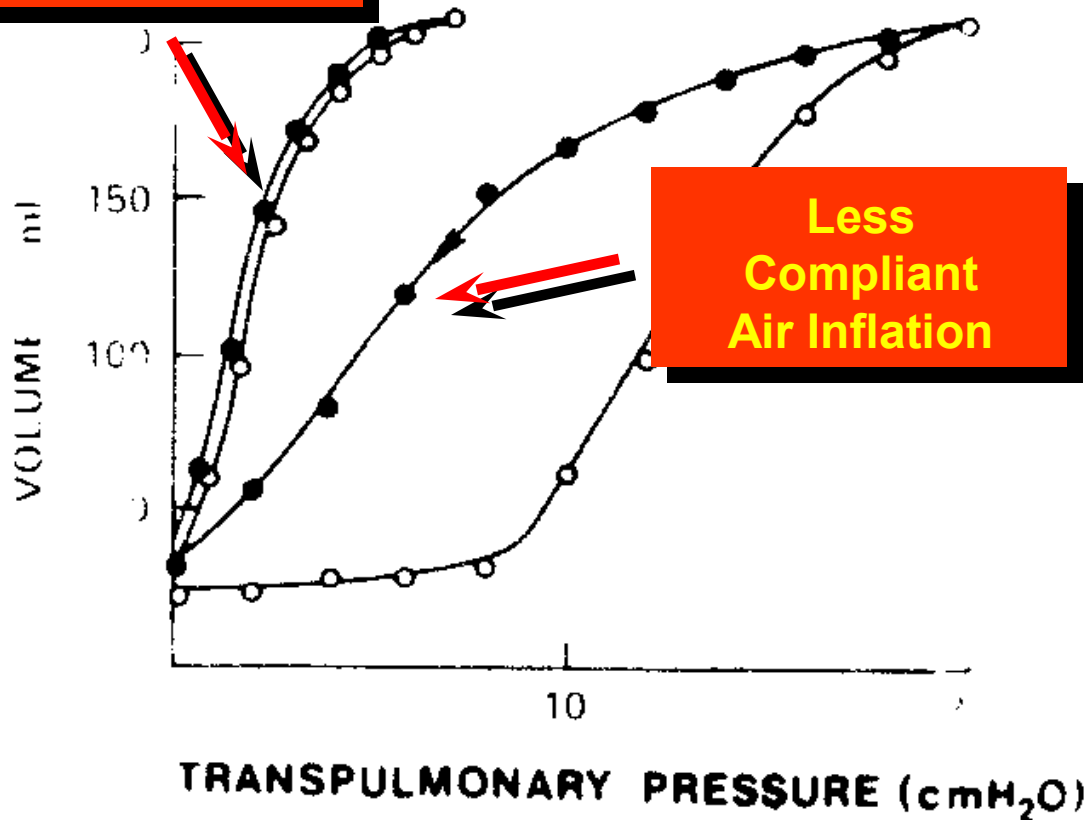
# Two Major Forces affect Lung Compliance

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- **Tissue elastic forces**
- **Surface tension forces.**

# Air vs. Saline Inflation

**More  
Compliant  
Saline Inflation**



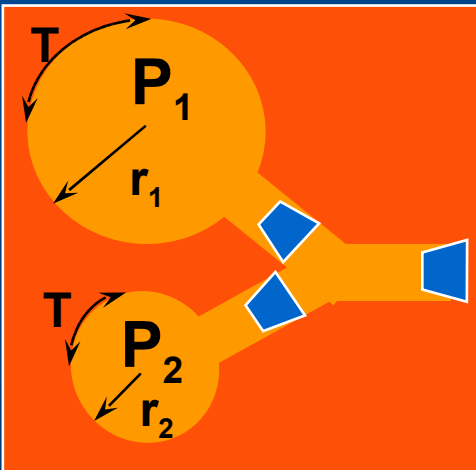
**Less  
Compliant  
Air Inflation**



# Surface Tension.

- At every gas-liquid interface surface tension develops.
- Surface Tension is a liquid property
- LaPlace's Law:

$$P = \frac{2 \cdot T}{r}$$



$$T = \frac{P_1 \cdot r_1}{2} = \frac{P_2 \cdot r_2}{2}$$

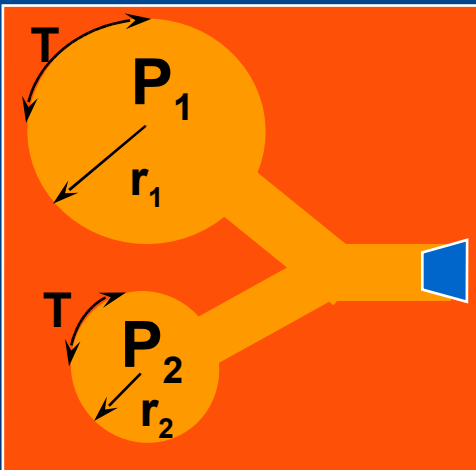
If  $r_1 > r_2$  Then,  $P_2 > P_1$

**Result:** Small Bubble Collapses

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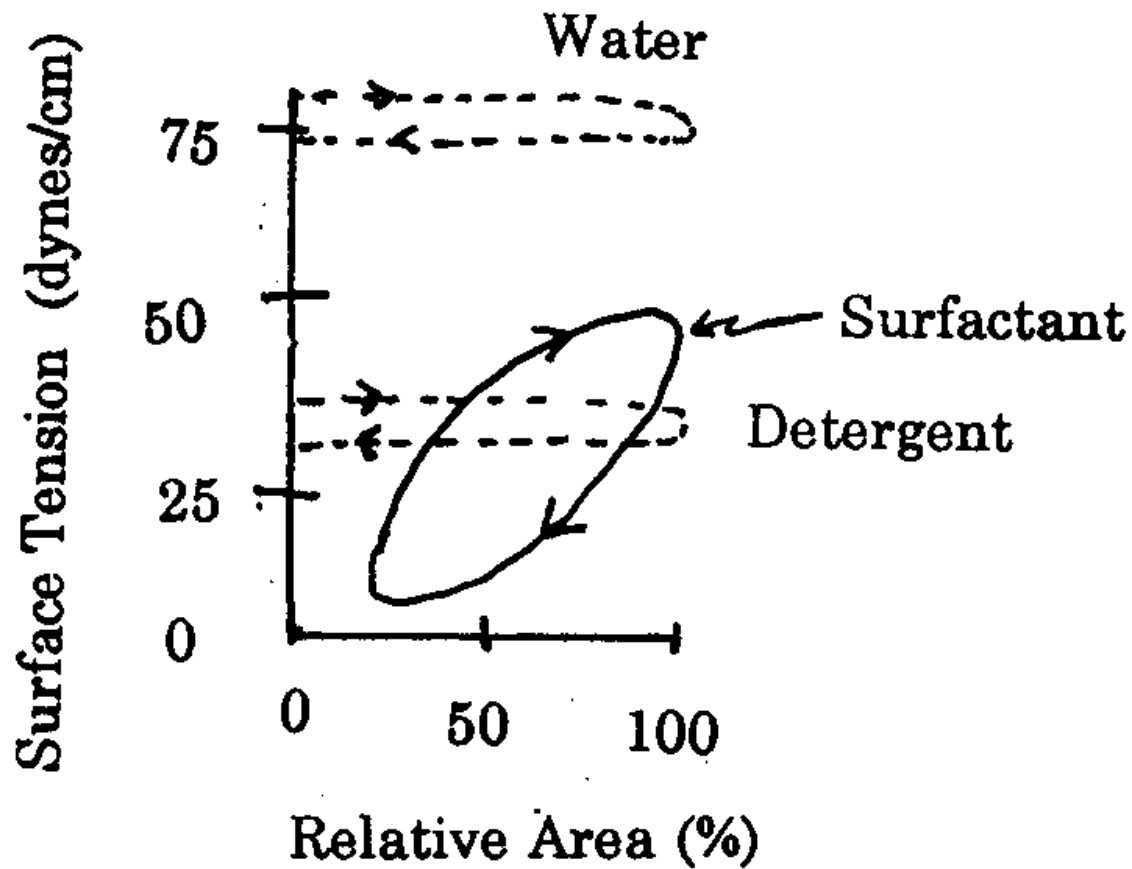
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# Surfactant

- **Secreted by Type II alveolar cells**
- **Dipalmitoyl phosphatidyl choline**
- **Lines alveoli**
- **Unique surface tension properties:**
  - **Average surface tension low.**
  - **Surface tension varies with area:**
    - **Surface tension rises as area gets bigger**
    - **Surface tension falls as area gets smaller.**

# Figure 4: Surfactant



# Physiological Importance of Surfactant

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- **Increases lung compliance (less stiff)**
- **Promotes alveolar stability and prevents alveolar collapse**
- **Promotes dry alveoli:**
  - **Alveolar collapse tends to “suck” fluid from pulmonary capillaries**
  - **Stabilizing alveoli prevents fluid transudation by preventing collapse.**

# Infant Respiratory Disease Syndrome (IRDS)

- **Surfactant starts late in fetal life**
  - Total gestation: 39 wks
  - Surfactant: 23 wks  $\longrightarrow$  32-36 wks
- **Infants with immature surfactant (IRDS)**
  - Stiff, fluid-filled lungs
  - Atelectatic areas (alveolar collapse)
    - Collapsed alveoli are poorly ventilated
    - Effective right to left shunt (Admixture)
- **[lecithin]/[sphingomyelin] ratio**  
 $\longrightarrow$  **Gestational Maturity**

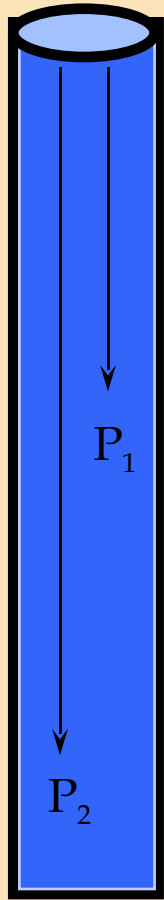
# Dependent Lung

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- **Dependent Lung**—the lung in the lowest part of the gravitational field
  - base when in the upright position
  - dorsal portion when supine.

# Gravity and Lung Inflation

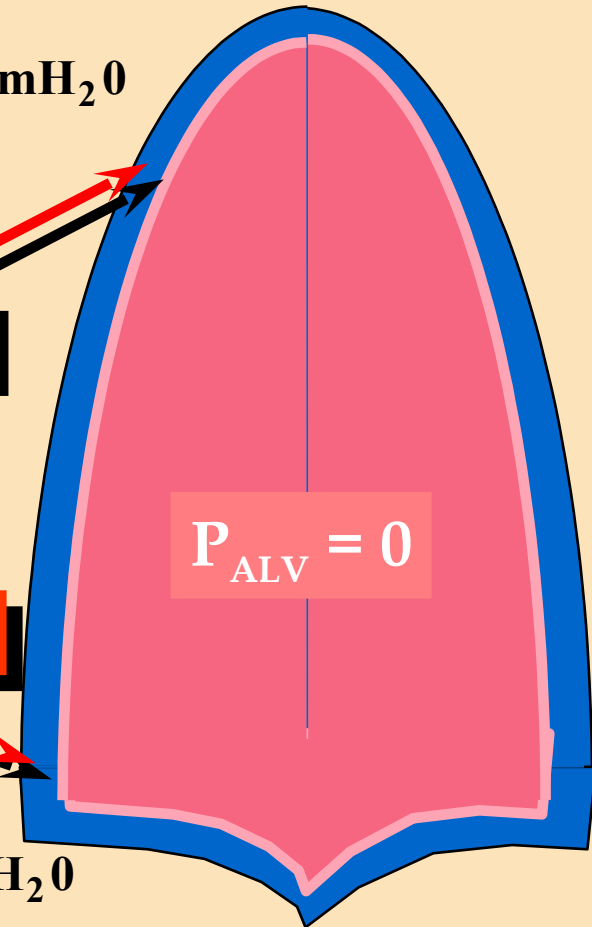


$$P_{TP} = 0 - (-10) = +10 \text{ cmH}_2\text{O}$$

$$P_{PL} = -10 \text{ cm H}_2\text{O}$$

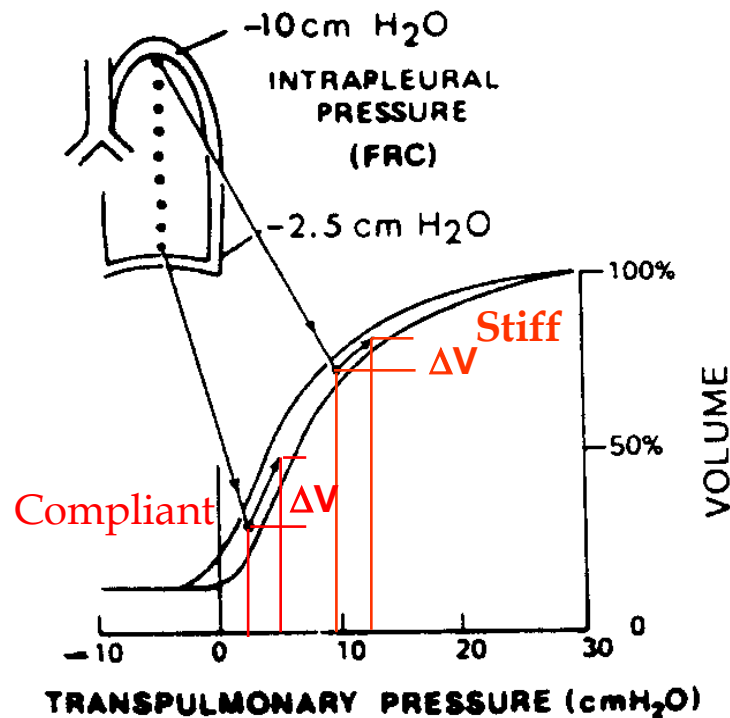
$$P_{PL} = -2.5 \text{ cm H}_2\text{O}$$

$$P_{TP} = 0 - (-2.5) = +2.5 \text{ cmH}_2\text{O}$$





# Figure 5: Regional Compliance Differences During Inflation



# Regional Lung Volume vs. Regional Lung Ventilation

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- In the upright posture:
  - Relative lung **volume** is greater at the apex
  - Lung is **less compliant** (stiffer) at the apex
  - Regional lung **ventilation** is greatest at the **base**

# Time Constants for Emptying

- **Important regional inhomogeneities:**
  - regional differences in airway resistances
  - regional differences in elastic characteristics
- **High resistance and high compliance cause slow emptying.**



**Time Constant  $\propto RC$**

# Specific Compliance

- $$\text{Specific Compliance} = \frac{\text{Compliance}}{\text{FRC}}$$
- Normalization allows comparison of tissue elastic characteristics
- **Question:** How would compliance differ in a child and an adult, both with normal lungs?

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# INTERACTIONS BETWEEN LUNGS AND CHEST WALL

# General Principle

- The lungs and chest wall operate in series
- Lung and chestwall compliances add reciprocally:

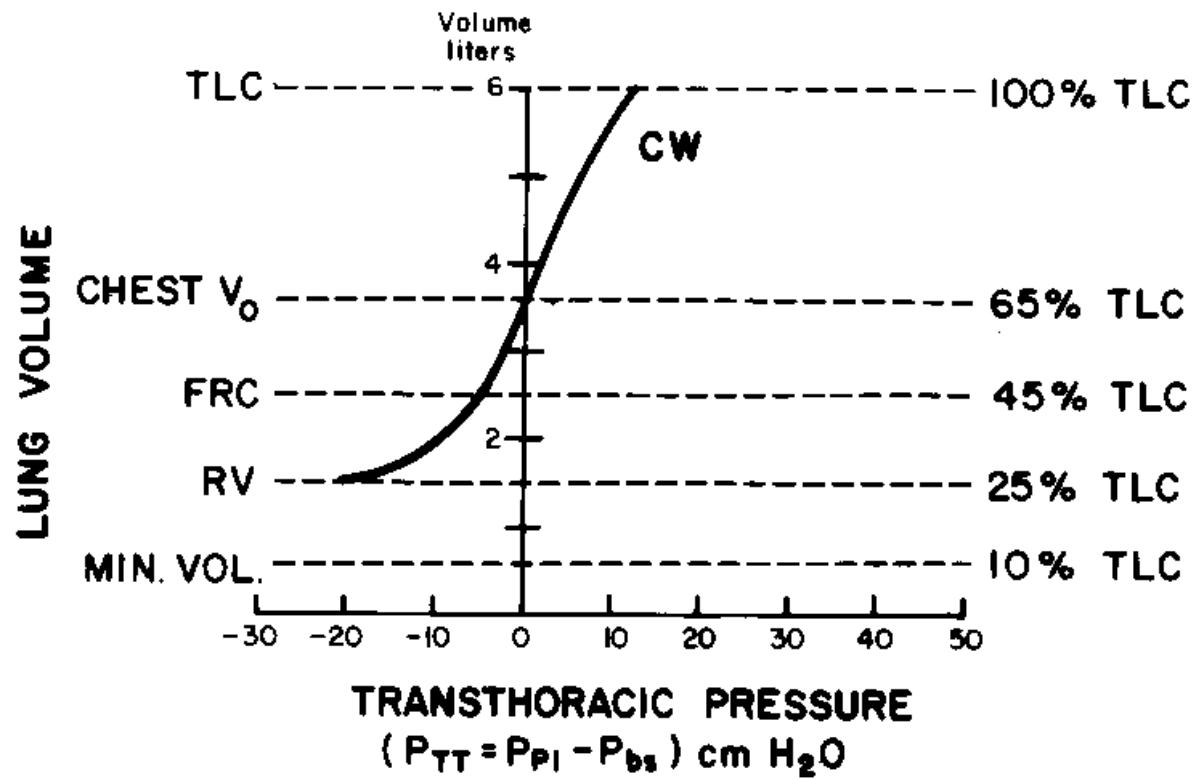
$$\frac{1}{C_{\text{Total}}} = \frac{1}{C_{\text{Chestwall}}} + \frac{1}{C_{\text{Lung}}}$$

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# MECHANICS OF BREATHING

## Part 3

# Figure 6: Chest Wall Mechanics

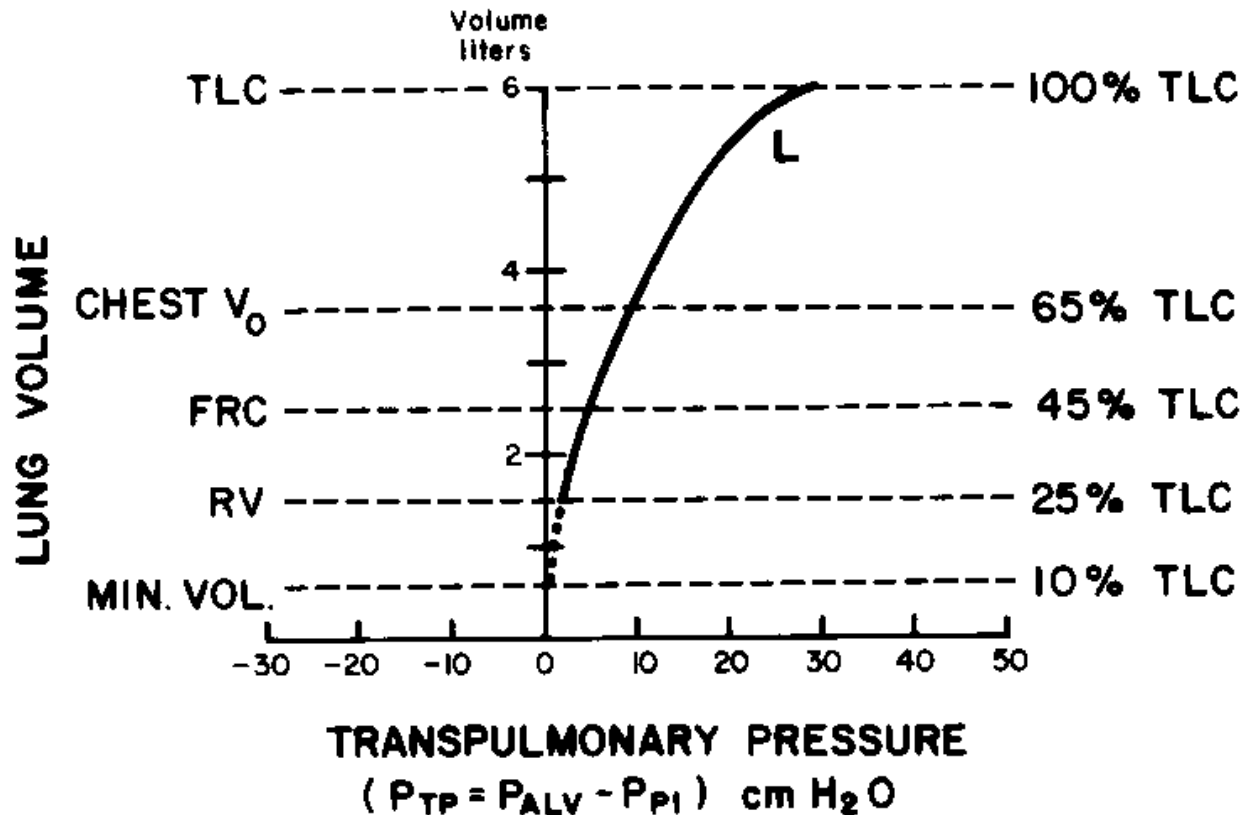




# Chest Wall Mechanics Summary

- Negative  $P_{\text{TT}}$ : Found at RV and FRC.
  - Normal tidal breathing in this condition
  - chest wall below its unstressed volume
  - chest tends to spring out
- Unstressed Volume: 65% of TLC
  - No net recoil
- Positive  $P_{\text{TT}}$ : Above 65% of TLC
  - volumes above 65% TLC
  - Chest tends to collapse (spring in).

# Figure 7: Lung Mechanics



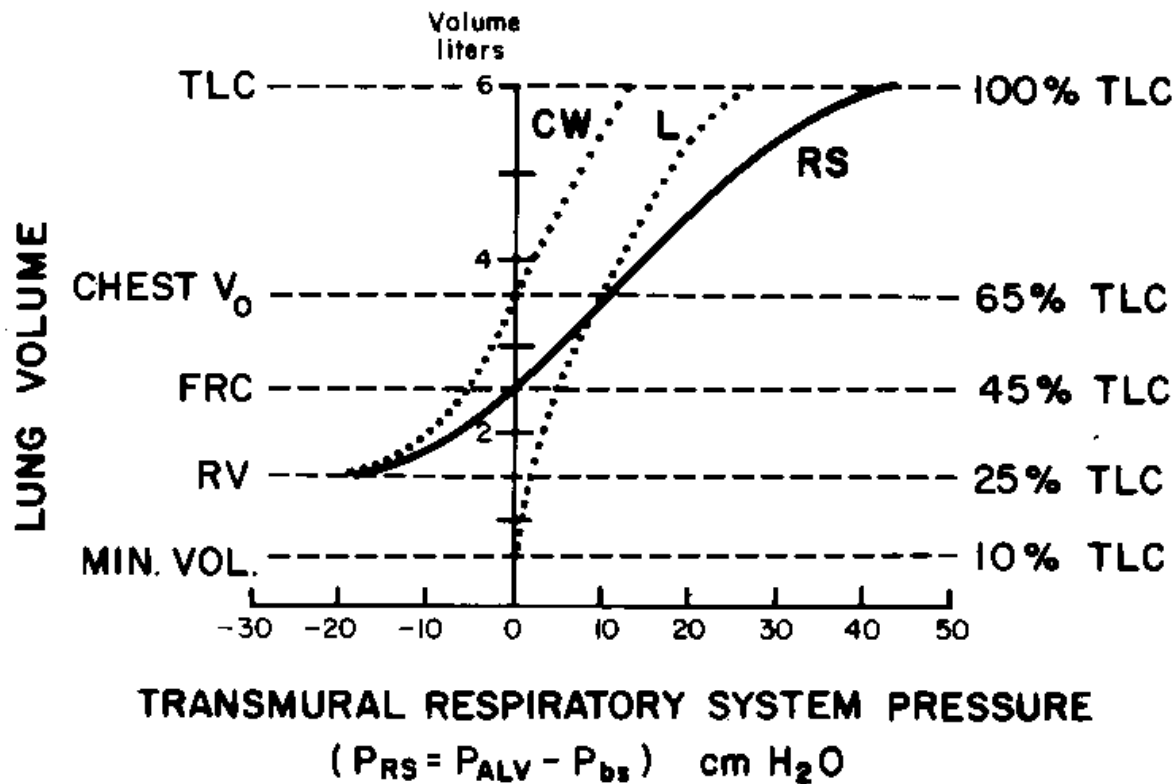
# Lung Mechanics Summary

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- Always above unstressed volume (minimal volume = 10% TLC).
- $P_{TP}$  is positive from RV to TLC
- Lungs always tends to collapse.

# Figure 8: Combined Mechanics

Q65



# Combined Mechanics Summary

- **Functional residual capacity**
  - Respiratory system unstressed volume
  - Chest and lung recoil equal and opposite
- **Pneumothorax**
  - Uncouples lungs and chestwall
  - Lungs and chest wall move to their unstressed volume
    - lungs always recoil inward
    - chest wall springs outward below 65% TLC
    - chest wall springs inward above 65% TLC

# Lung Compliance in Disease

- Diseases **increasing compliance**:
  - natural aging
  - emphysema.
- Diseases **decreasing compliance** (stiffer lung):
  - pulmonary fibrosis
  - edema (e.g. rheumatic heart disease)

# Chestwall Compliance in Disease

- **Actually less compliant (stiffer):**
  - chest wall deformation (eg. kyphoscoliosis)
- **Functionally less compliant (stiffer):**  
(Abdominal cavity changes)
  - displacement of the diaphragm (eg. pregnancy)
  - ascites

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# AIRWAY RESISTANCE



# Resistive Forces and Breathing

- **Quiet breathing -- Air flow laminar**
  - Resistance -- Poiseuille's Law
  - Pressure gradient proportional to flow.
- **High airflow (e.g. exercise)**
  - turbulence and eddy flow
  - Extra pressure gradient proportional to flow rate squared

# Distribution of Airway Resistance

- Major portion larger airways
  - specifically **medium size bronchi**
- Small airways (< 2 mm)
  - Only 20% of total airway resistance
  - Resistance increases may foretell coming problems
  - $FEF_{25-75}$  supposedly sensitive

# Airway Resistance

## --Smooth Muscle

Q66

- **Bronchoconstrictors**

- Vagal tone
- Histamine

- **Bronchoconstrictors**

- Beta agonists → **Bronchodilation**
- Anti-cholinergics

# Airways and V/Q Matching

- $\uparrow P_A \text{CO}_2 \longrightarrow$  **Bronchodilation**
  - Find high  $P_A \text{CO}_2$  in poorly ventilated regions
  - These airways tend to dilate.
  - Promotes homogeneous ventilation

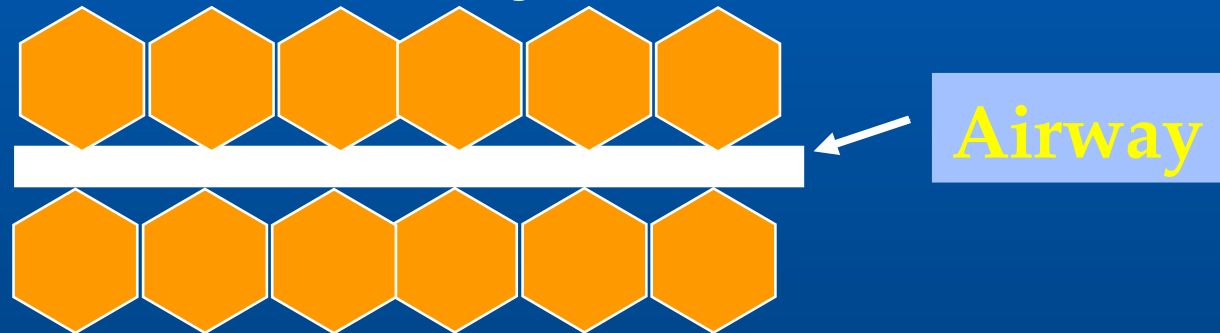
# Homeostatic Summary

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- **Low V/ Q units**
  - Alveolar hypoxia
  - Alveolar hypercapnia
- **Homeostasis**
  - Alveolar **hypercapnia** tends to **raise ventilation**
  - Alveolar **hypoxemia** tends to **lower blood flow**
- **Result: V/ Q tends back towards normal**

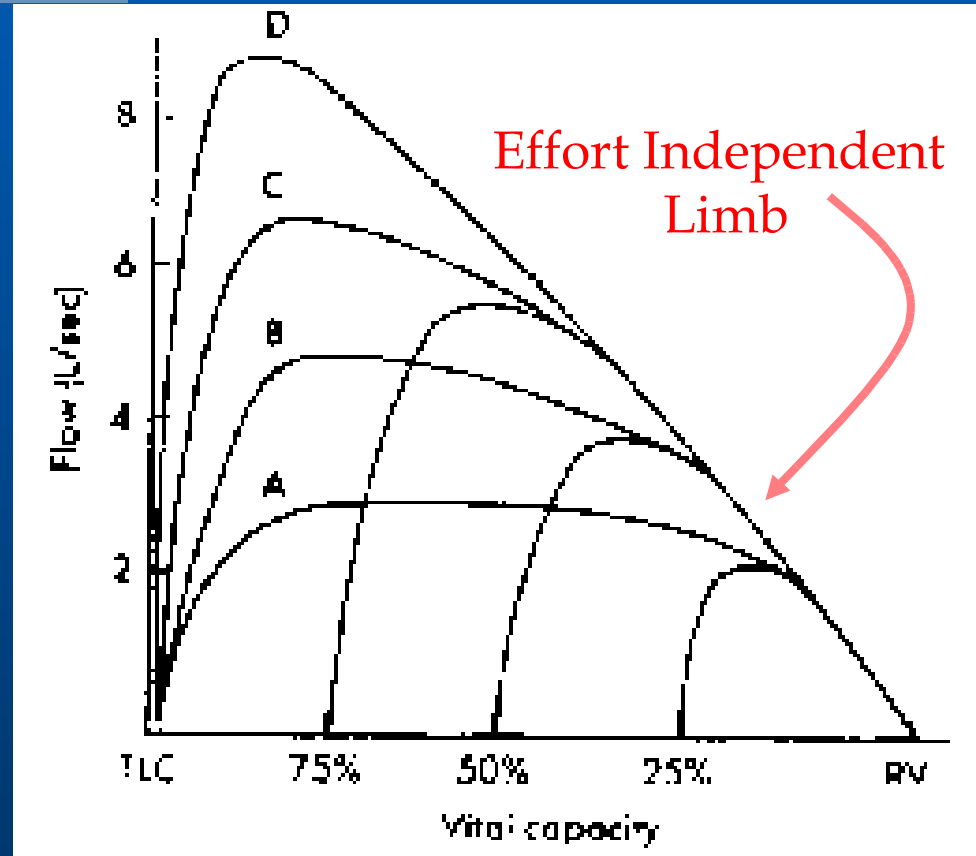
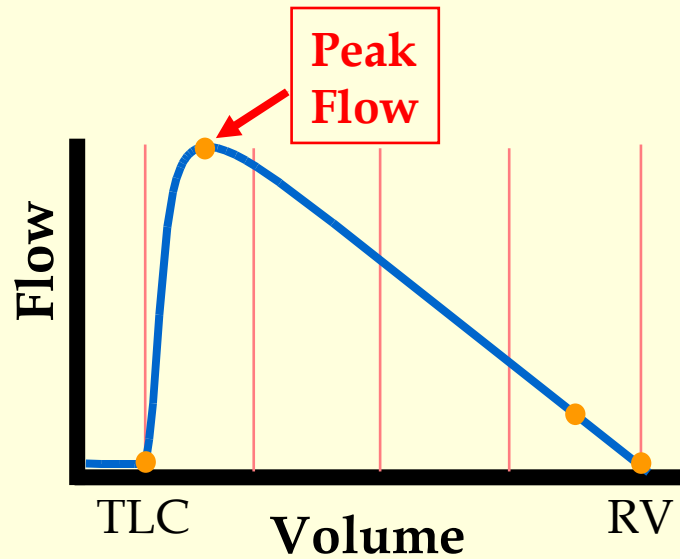
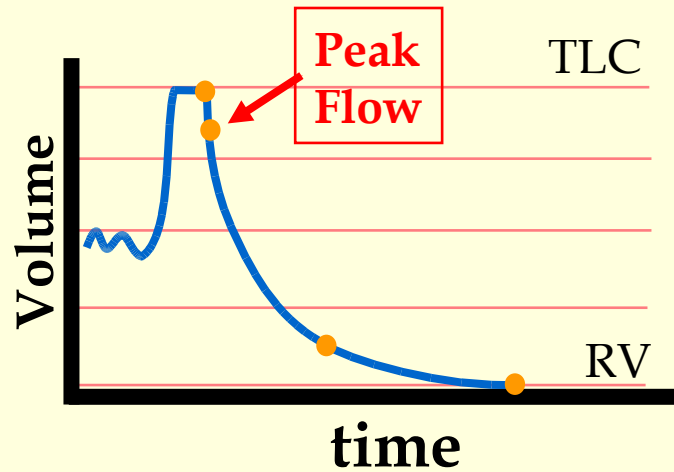
# Airway Resistance -- Minimized by High Elastic Recoil

- Radial traction normally holds bronchi open



- **Low elastic recoil forces** causes less radial traction and higher airway resistances:
  - Lower lung volumes
  - Chronic obstructive disease (eg. Emphysema)

# Maximum Forced Expiration

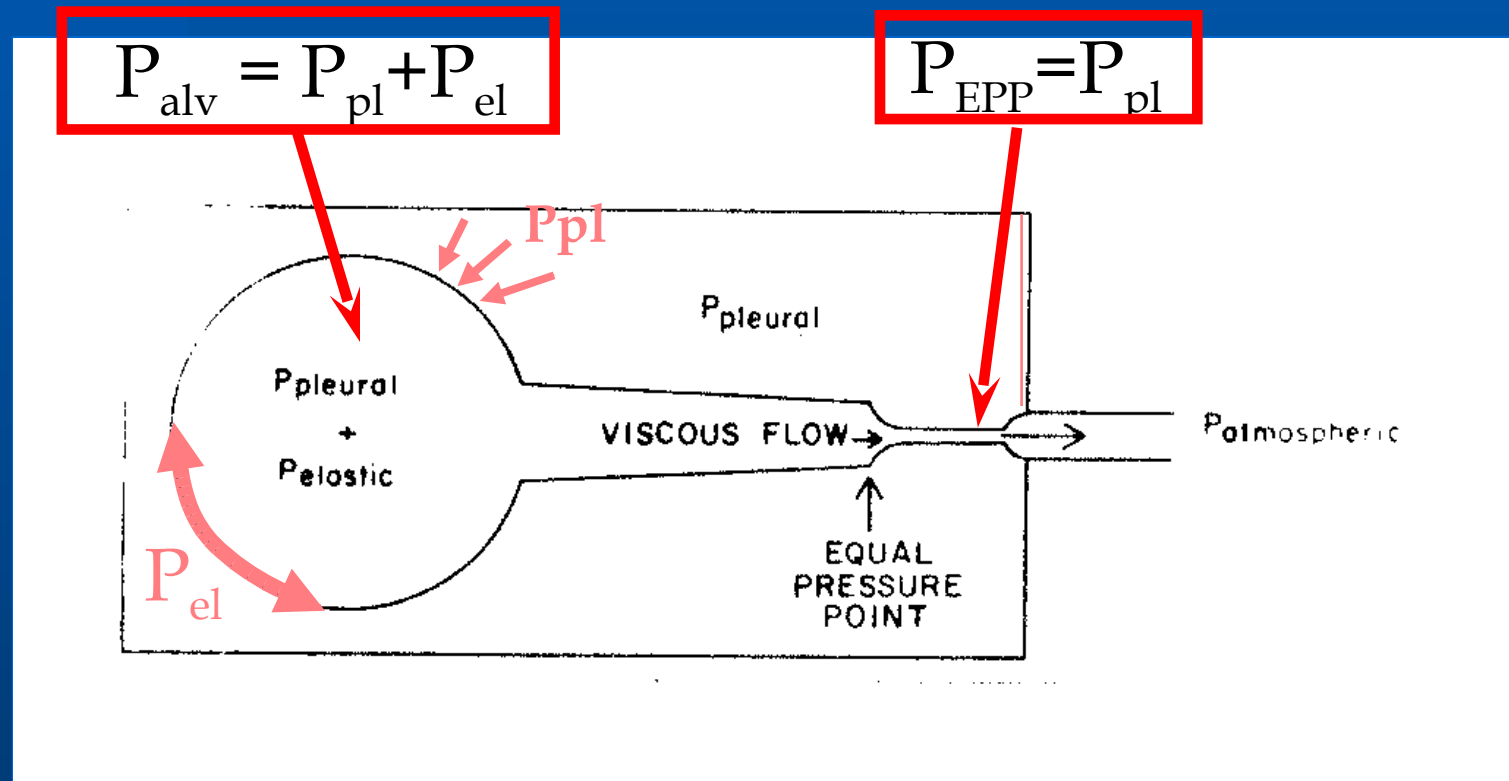


# Summary of Forced Expiration

- Peak flow occurs early
- Envelope of **effort-independence**:
  - Flow depends only on elastic recoil.
  - Flow falls as expiration continues
- Envelope is eventually joined independent of:
  - Starting volume
  - Initial effort.



# Figure 10: Flow Limitation and EPP



# Mechanism of Flow Limitation

## Summary

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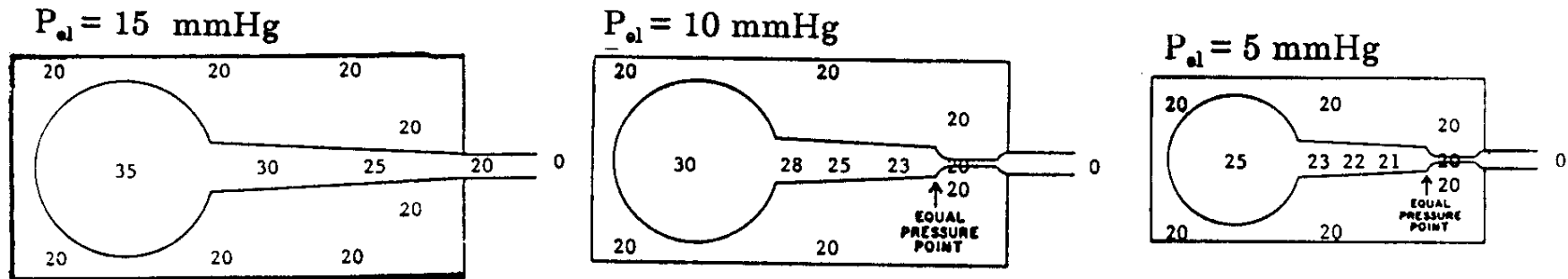
- **Force expiration:**  $P_{PL}$  is positive outside the airways.
- **Equal pressure point (EPP).**
  - Point at which  $P_{airway}$  falls just enough to equal  $P_{PL}$
  - Bronchi collapse
- **Flow proportional to:**  $P_{ALV} - P_{EPP}$

# Effort Independence of Flow-Volume Envelope

Q67

- **Increased effort**  $\longrightarrow$ 
  - Similar increases in  $P_{ALV}$  and  $P_{EPP}$ .
  - Pressure difference unchanged
  - Therefore, Flow unchanged.

# Figure 11: Flow Limitation at Various Lung Volumes

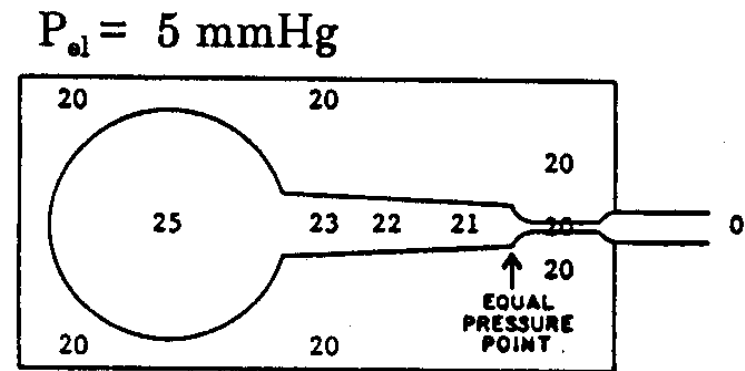
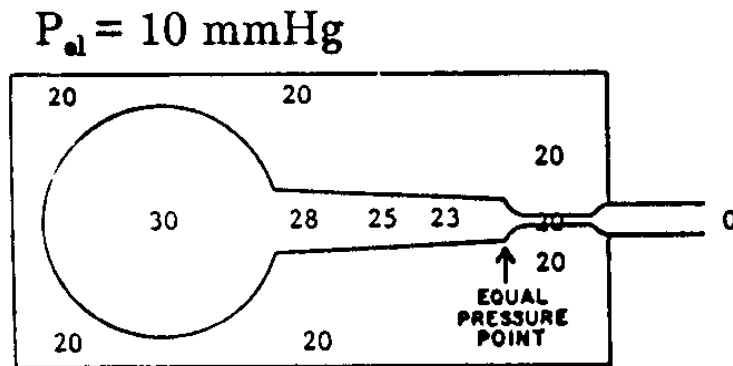


**High Lung  
Volume**

**Medium Lung  
Volume**

**Low Lung  
Volume**

# Flow Limitation in COPD (Emphysema)

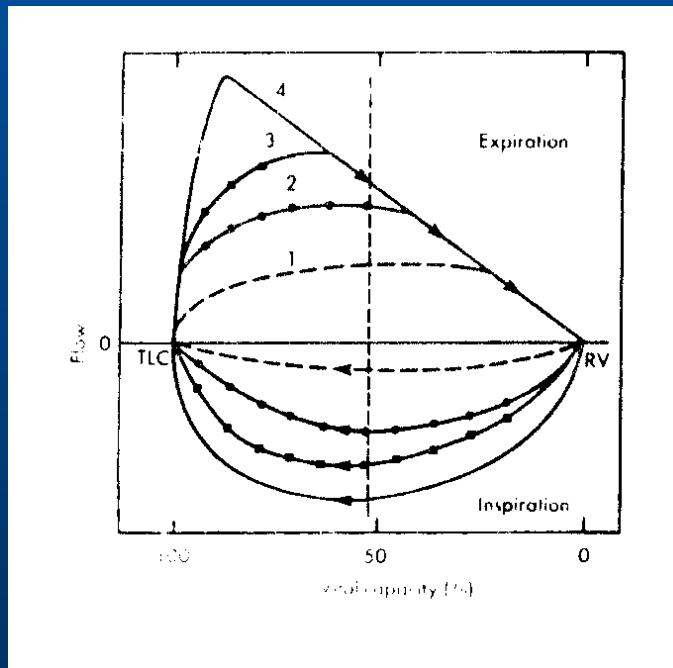


**Normal Lung  
Medium Volume**

**Emphysematous Lung  
Medium Volume**

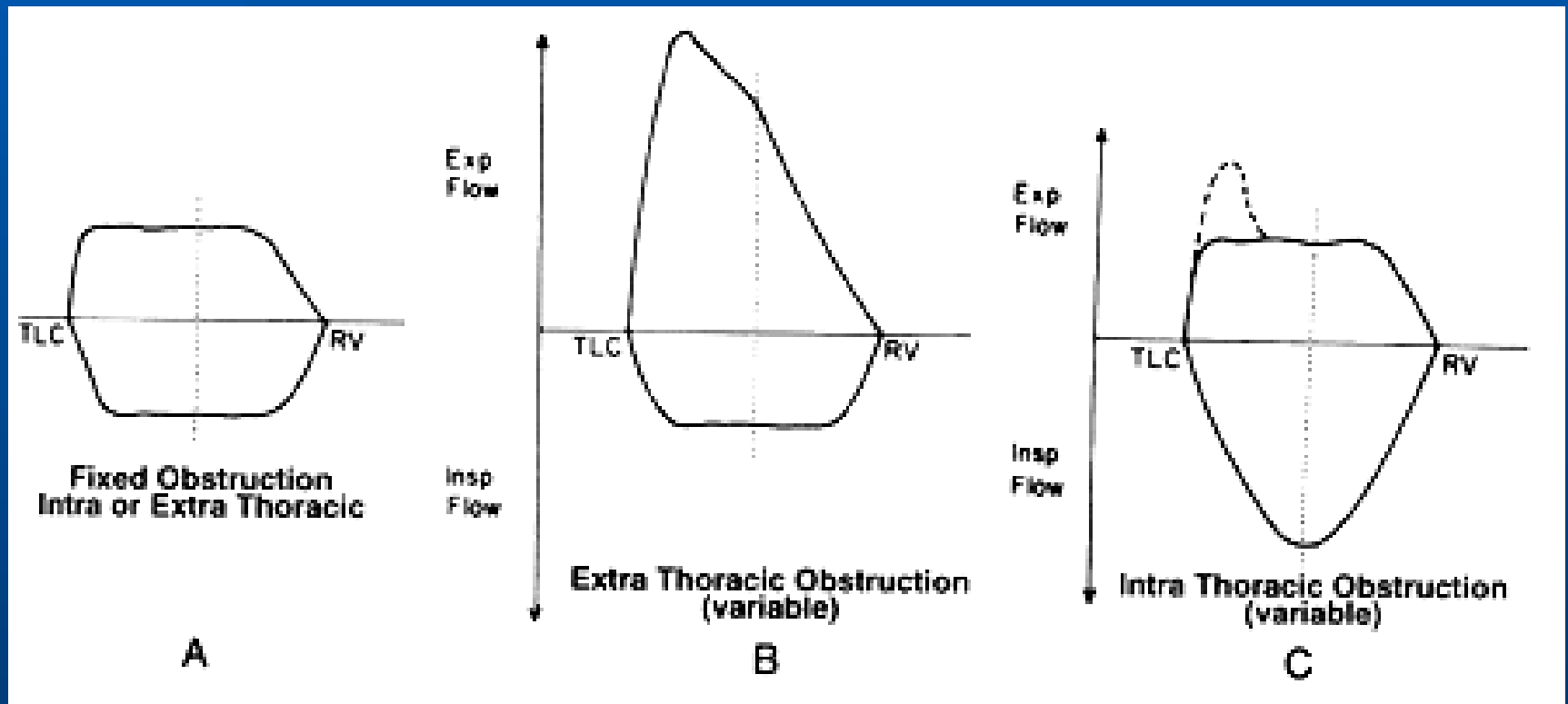
# Forced Inspiration: is Effort-Dependent

- $P_{PL}$  is Negative
- Airways are held open.



# Clinical Flow-Volume Loops

## Obstruction



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# Reference

[http://www.ursa.kcom.edu/Department/SlideSets/Summer/MechBreathing/  
PPMechBreathing.ppt](http://www.ursa.kcom.edu/Department/SlideSets/Summer/MechBreathing/PPMechBreathing.ppt)